
OpenStudio-ERI Documentation

NREL

Mar 26, 2021

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The OpenStudio-ERI project allows calculating an Energy Rating Index (ERI) using the Department of Energy’s open-source [OpenStudio/EnergyPlus](#) simulation platform. The building description is provided in an [HPXML file](#) format.

ERI is defined by ANSI/RESNET/ICC 301-2014© “Standard for the Calculation and Labeling of the Energy Performance of Low-Rise Residential Buildings using an Energy Rating Index”.

1.1 License

This workflow is available under a BSD-3-like license, which is a free, open-source, and permissive license. For more information, check out the [license file](#).

1.2 Disclaimer

Downloading and using this software from this website does not constitute accreditation of the final software product by RESNET. If you are seeking to develop RESNET Accredited Rating Software, you will need to submit your final software product to RESNET for accreditation.

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2.1 ERI Capabilities

The following ERI Standards and Addenda are currently available:

- ANSI/RESNET/ICC 301-2014© “Standard for the Calculation and Labeling of the Energy Performance of Low-Rise Residential Buildings using an Energy Rating Index”.
- ANSI/RESNET/ICC 301-2014 Addendum A-2015, Domestic Hot Water Systems, January 15, 2016
- ANSI/RESNET/ICC 301-2014 Addendum D-2017, Duct Leakage to Outside Test Exception, January 1, 2018
- ANSI/RESNET/ICC 301-2014 Addendum E-2018, House Size Index Adjustment Factors, February 1, 2018
- ANSI/RESNET/ICC 301-2014 Addendum G-2018, Solid State Lighting, February 2, 2018
- ANSI/RESNET/ICC 301-2014 Addendum L-2018, Duct Leakage to Outside Test Exception, July 1, 2019
- ANSI/RESNET/ICC 301-2019 “Standard for the Calculation and Labeling of the Energy Performance of Dwelling and Sleeping Units using an Energy Rating Index”.
- ANSI/RESNET/ICC 301-2019 Addendum A-2019, Clothes Washers and Dryers and Dishwashers, July 1, 2020

2.2 Accuracy vs Speed

The EnergyPlus simulation engine is like a Swiss army knife. There are often multiple models available for the same building technology with varying trade-offs between accuracy and speed. This workflow standardizes the use of EnergyPlus (e.g., the choice of models appropriate for residential buildings) to provide a fast and easy to use solution.

The workflow is continuously being evaluated for ways to reduce runtime without significant impact on accuracy. A number of such enhancements have been made to date.

There are additional ways that software developers using this workflow can reduce runtime:

- Run on Linux/Mac platform, which is significantly faster by taking advantage of the POSIX fork call.
- Do not use the `--hourly` flag unless hourly output is required. If required, limit requests to hourly variables of interest.

- Run on computing environments with 1) fast CPUs, 2) sufficient memory, and 3) enough processors to allow all simulations to run in parallel.

Here is a brief overview on getting setup, running an ERI calculation, and obtaining outputs.

3.1 Setup

To get started:

1. Either download [OpenStudio 3.1.0](#) and install the Command Line Interface/EnergyPlus components, or use the [nrel/openstudio docker image](#).
2. Download the [latest release](#) release.
3. To obtain all available weather files, run: `openstudio workflow/energy_rating_index.rb --download-weather`

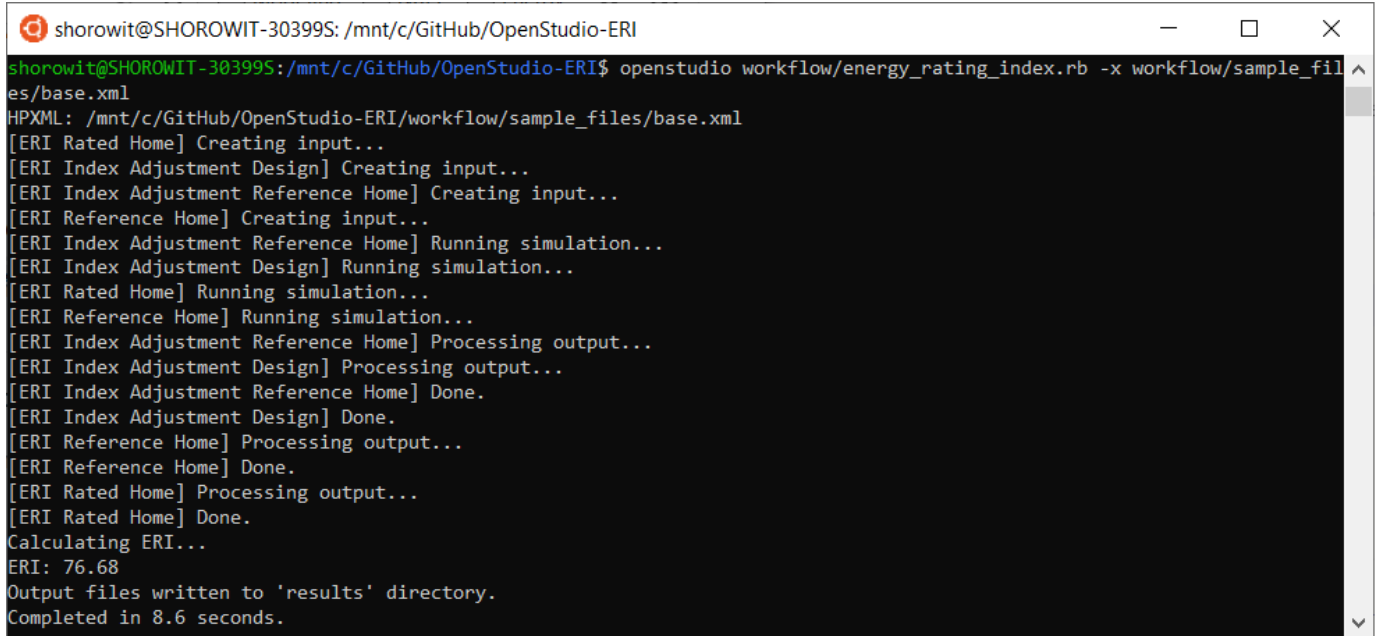
Note: If the `openstudio` command is not found, it's because the executable is not in your `PATH`. Either add the executable to your `PATH` or point directly to the executable found in the `openstudio-X.X.X/bin` directory.

3.2 Running

Run the ERI calculation on a provided sample HPXML file as follows: `openstudio workflow/energy_rating_index.rb -x workflow/sample_files/base.xml`

Note that the Reference Home, Rated Home and Index Adjustment Home (if applicable) simulations will be executed in parallel on the local machine.

This will generate output as shown below:



```
shorowit@SHOROWIT-30399S: /mnt/c/GitHub/OpenStudio-ERI$ openstudio workflow/energy_rating_index.rb -x workflow/sample_files/base.xml
HPXML: /mnt/c/GitHub/OpenStudio-ERI/workflow/sample_files/base.xml
[ERI Rated Home] Creating input...
[ERI Index Adjustment Design] Creating input...
[ERI Index Adjustment Reference Home] Creating input...
[ERI Reference Home] Creating input...
[ERI Index Adjustment Reference Home] Running simulation...
[ERI Index Adjustment Design] Running simulation...
[ERI Rated Home] Running simulation...
[ERI Reference Home] Running simulation...
[ERI Index Adjustment Reference Home] Processing output...
[ERI Index Adjustment Design] Processing output...
[ERI Index Adjustment Reference Home] Done.
[ERI Index Adjustment Design] Done.
[ERI Reference Home] Processing output...
[ERI Reference Home] Done.
[ERI Rated Home] Processing output...
[ERI Rated Home] Done.
Calculating ERI...
ERI: 76.68
Output files written to 'results' directory.
Completed in 8.6 seconds.
```

You can also request generation of hourly output CSV files as part of the calculation by providing one or more `--hourly` flags.

To request all possible hourly outputs: `openstudio workflow/energy_rating_index.rb -x workflow/sample_files/base.xml --hourly ALL`

Or one or more specific hourly output types can be requested, e.g.: `openstudio workflow/energy_rating_index.rb -x workflow/sample_files/base.xml --hourly fuels --hourly temperatures`

Run `openstudio workflow/energy_rating_index.rb -h` to see all available commands/arguments.

3.3 Output

Upon completion, ERI is provided in the console (stdout) as well as available in some of the summary output files. See the [Workflow Outputs](#) section for a description of all available outputs.

4.1 Introduction

OpenStudio-ERI requires a building description in an [HPXML file](#) format. HPXML is an open data standard for collecting and transferring home energy data. Using HPXML files reduces the complexity and effort for software developers to leverage the EnergyPlus simulation engine.

4.2 HPXML Inputs

HPXML is an flexible and extensible format, where nearly all elements in the schema are optional and custom elements can be included. Because of this, a stricter set of requirements for the HPXML file have been developed for purposes of running an Energy Rating Index calculation.

HPXML files submitted to OpenStudio-ERI should undergo a two step validation process:

1. Validation against the HPXML Schema

The HPXML XSD Schema can be found at `hpxml-measures/HPXMLtoOpenStudio/resources/HPXML.xsd`. It should be used by the software developer to validate their HPXML file prior to running the workflow. XSD Schemas are used to validate what elements/attributes/enumerations are available, data types for elements/attributes, the number/order of children elements, etc.

OpenStudio-ERI **does not** validate the HPXML file against the XSD Schema and assumes the file submitted is valid. However, OpenStudio-ERI does automatically check for valid data types (e.g., integer vs string), enumeration choices, and numeric values within min/max.

2. Validation using [Schematron](#)

The Schematron document for the ERI use case can be found at `rulesets/301EnergyRatingIndexRuleset/resources/301validator.xml`. Schematron is a rule-based validation language, expressed in XML using XPath expressions, for validating the presence or absence of inputs in XML files. As opposed to an XSD Schema, a Schematron document validates constraints and requirements based on conditionals and other logical statements. For example, if an element is specified with a particular value, the applicable enumerations of another element may change.

OpenStudio-ERI **automatically validates** the HPXML file against the Schematron document and reports any validation errors, but software developers may find it beneficial to also integrate Schematron validation into their software.

Important: Usage of both validation approaches (XSD and Schematron) is recommended for developers actively working on creating HPXML files for Energy Rating Index calculations:

- Validation against XSD for general correctness and usage of HPXML
 - Validation against Schematron for understanding XML document requirements specific to running ERI calculations
-

4.3 HPXML Software Info

High-level simulation inputs are entered in `/HPXML/SoftwareInfo`.

4.3.1 HPXML ERI Calculation

The version of the ERI calculation is entered in `/HPXML/SoftwareInfo/extension/ERICalculation`.

Element	Type	Units	Constraints	Required	Default	Description
Version	string		See ¹	Yes		Version of 301 Standard w/ addenda

4.4 HPXML Building Summary

High-level building summary information is entered in `/HPXML/Building/BuildingDetails/BuildingSummary`.

4.4.1 HPXML Site

Each fuel type available to the building is entered in `/HPXML/Building/BuildingDetails/BuildingSummary/Site/FuelTypesAvailable`.

Element	Type	Units	Constraints	Required	Default	Description
Fuel	string		See ²	Yes		Fuel name

Note: The provided fuels are used to determine whether the home has access to natural gas or fossil fuel delivery (specified by any value other than “electricity”). This information may be used for determining the heating system, as specified by the ERI 301 Standard.

¹ Version choices are “latest”, “2019AB”, “2019A”, “2019”, “2014ADEGL”, “2014ADEG”, “2014ADE”, “2014AD”, “2014A”, or “2014”. For example, a value of “2019AB” tells the workflow to use ANSI/RESNET/ICC© 301-2019 with both Addendum A and Addendum B included. A value of “latest” can be used to always point to the latest version available.

² Fuel choices can be found at the [HPXML Toolbox website](#).

4.4.2 HPXML Building Construction

Building construction is entered in `/HPXML/Building/BuildingDetails/BuildingSummary/BuildingConstruction`.

Element	Type	Units	Constraints	Required	Default	Notes
ResidentialFacilityType	string		See ³	Yes		Type of dwelling unit
NumberOfConditionedFloors	double		> 0	Yes		Number of conditioned floors (including a basement)
NumberOfConditionedFloorsAboveGrade	double		> 0, <= NumberOfConditionedFloors	Yes		Number of conditioned floors above grade (including a walkout basement)
NumberOfBedrooms	integer		> 0 ⁴	Yes		Number of bedrooms
ConditionedFloorArea	double	ft ²	> 0	Yes		Floor area within conditioned space boundary
ConditionedBuildingVolume	double	ft ³ or ft	> 0	Yes		Volume within conditioned space boundary

4.5 HPXML Weather Station

Weather information is entered in `/HPXML/Building/BuildingDetails/ClimateandRiskZones/WeatherStation`.

Element	Type	Units	Constraints	Required	Default	Notes
SystemIdentifier	id			Yes		Unique identifier
Name	string			Yes		Name of weather station
extension/ EPWFilePath	string			Yes		Path to the EnergyPlus weather file (EPW) ⁵

4.6 HPXML Climate Zone

The IECC climate zone is entered in `/HPXML/Building/BuildingDetails/ClimateandRiskZones/ClimateZoneIECC`.

Element	Type	Units	Constraints	Required	Default	Notes
Year	integer		See ⁶	Yes		IECC year
ClimateZone	string		See ⁷	Yes		IECC zone

³ ResidentialFacilityType choices are “single-family detached”, “single-family attached”, “apartment unit”, or “manufactured home”.

⁴ NumberOfBedrooms must also be <= (ConditionedFloorArea-120)/70.

⁵ A full set of U.S. TMY3 weather files can be [downloaded here](#).

4.7 HPXML Enclosure

The dwelling unit's enclosure is entered in `/HPXML/Building/BuildingDetails/Enclosure`.

All surfaces that bound different space types of the dwelling unit (i.e., not just thermal boundary surfaces) must be specified in the HPXML file. For example, an attached garage would generally be defined by walls adjacent to conditioned space, walls adjacent to outdoors, a slab, and a roof or ceiling. For software tools that do not collect sufficient inputs for every required surface, the software developers will need to make assumptions about these surfaces or collect additional input.

Interior partition surfaces (e.g., walls between rooms inside conditioned space, or the floor between two conditioned stories) can be excluded.

For single-family attached (SFA) or multifamily (MF) buildings, surfaces between unconditioned space and the neighboring unit's same unconditioned space should set `InteriorAdjacentTo` and `ExteriorAdjacentTo` to the same value. For example, a foundation wall between the unit's vented crawlspace and the neighboring unit's vented crawlspace would use `InteriorAdjacentTo="crawlspace - vented"` and `ExteriorAdjacentTo="crawlspace - vented"`.

Warning: It is the software tool's responsibility to provide the appropriate building surfaces. While some error-checking is in place, it is not possible to know whether some surfaces are incorrectly missing.

Also note that wall and roof surfaces do not require an azimuth to be specified. Rather, only the windows/skylights themselves require an azimuth. Thus, software tools can choose to use a single wall (or roof) surface to represent multiple wall (or roof) surfaces for the entire building if all their other properties (construction type, interior/exterior adjacency, etc.) are identical.

4.7.1 HPXML Air Infiltration

Building air leakage is entered in `/HPXML/Building/BuildingDetails/Enclosure/AirInfiltration/AirInfiltrationMeasurement`.

Element	Type	Units	Constraints	Re- quired	De- fault	Notes
<code>SystemIdentifier</code>	id			Yes		Unique identifier
<code>BuildingAirLeakage/UnitofMeasure</code>	string		See ⁸	Yes		Units for air leakage
<code>HousePressure</code>	double	Pa	> 0	See ⁹		House pressure with respect to outside ¹⁰
<code>BuildingAirLeakage/AirLeakage</code>	double		> 0	Yes		Value for air leakage
<code>InfiltrationVolume</code>	double	ft3	> 0, >= Conditioned-BuildingVolume	Yes		Volume associated with infiltration measurement

⁶ Year choices are 2003, 2006, 2009, or 2012.

⁷ ClimateZone choices are "1A", "1B", "1C", "2A", "2B", "2C", "3A", "3B", "3C", "4A", "4B", "4C", "5A", "5B", "5C", "6A", "6B", "6C", "7", or "8".

⁸ UnitofMeasure choices are "ACH" (air changes per hour at user-specified pressure), "CFM" (cubic feet per minute at user-specified pressure), or "ACHnatural" (natural air changes per hour).

⁹ HousePressure only required if BuildingAirLeakage/UnitofMeasure is not "ACHnatural".

¹⁰ HousePressure typical value is 50 Pa.

4.7.2 HPXML Attics

If the dwelling unit has an unvented attic, whether it is within the infiltration volume is entered in `/HPXML/Building/BuildingDetails/Enclosure/Attics/Attic[AtticType/Attic[Vented="false"]]`.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
WithinInfiltrationVolume	boolean			Yes		In accordance with ANSI/RESNET/ICC Standard 380

If the dwelling unit has a vented attic, attic ventilation information can be optionally entered in `/HPXML/Building/BuildingDetails/Enclosure/Attics/Attic[AtticType/Attic[Vented="true"]]/VentilationRate`.

Element	Type	Units	Constraints	Required	Default	Notes
UnitofMeasure	string		See ¹¹	No	SLA	Units for ventilation rate
Value	double		> 0	No	1/300	Value for ventilation rate

4.7.3 HPXML Foundations

If the dwelling unit has an unconditioned basement, whether it is within the infiltration volume is entered in `Enclosure/Foundations/Foundation/FoundationType/Basement[Conditioned='false']`.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
WithinInfiltrationVolume	boolean			Yes		In accordance with ANSI/RESNET/ICC Standard 380

If the dwelling unit has an unvented crawlspace, whether it is within the infiltration volume is entered in `Enclosure/Foundations/Foundation/FoundationType/Crawlspace[Vented='false']`.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
WithinInfiltrationVolume	boolean			Yes		In accordance with ANSI/RESNET/ICC Standard 380

If the dwelling unit has a vented crawlspace, crawlspace ventilation information can be optionally entered in `/HPXML/Building/BuildingDetails/Enclosure/Foundations/Foundation/FoundationType/Crawlspace[Vented="true"]`/VentilationRate.

Element	Type	Units	Constraints	Required	Default	Notes
UnitofMeasure	string		See ¹²	No	SLA	Units for ventilation rate
Value	double		> 0	No	1/150	Value for ventilation rate

¹¹ UnitofMeasure choices are “SLA” (specific leakage area) or “ACHnatural” (natural air changes per hour).

¹² UnitofMeasure only choice is “SLA” (specific leakage area).

4.7.4 HPXML Roofs

Each pitched or flat roof surface that is exposed to ambient conditions is entered as an `/HPXML/Building/BuildingDetails/Enclosure/Roofs/Roof`.

For a multifamily building where the dwelling unit has another dwelling unit above it, the surface between the two dwelling units should be considered a `FrameFloor` and not a `Roof`.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
<code>SystemIdentifier</code>	id			Yes		Unique identifier
<code>InteriorAdjacentTo</code>	string		See ¹³	Yes		Interior adjacent space type
<code>Area</code>	double	ft2	> 0	Yes		Gross area (including skylights)
<code>Azimuth</code>	integer	deg	0 - 359	No	See ¹⁴	Azimuth (clockwise from North)
<code>SolarAbsorptance</code>	double		0 - 1	Yes		Solar absorptance
<code>Emittance</code>	double		0 - 1	Yes		Emittance
<code>Pitch</code>	integer	?:12	>= 0	Yes		Pitch
<code>RadiantBarrier</code>	boolean			Yes		Presence of radiant barrier
<code>RadiantBarrierGrade</code>	integer		1 - 3	See ¹⁵		Radiant barrier installation grade
<code>Insulation/SystemIdentifier</code>	id			Yes		Unique identifier
<code>Insulation/AssemblyEffectiveRValue</code>	double	F-ft2-hr/Btu	> 0	Yes		Assembly R-value ¹⁶

4.7.5 HPXML Rim Joists

Each rim joist surface (i.e., the perimeter of floor joists typically found between stories of a building or on top of a foundation wall) is entered as an `/HPXML/Building/BuildingDetails/Enclosure/RimJoists/RimJoist`.

¹³ `InteriorAdjacentTo` choices are “attic - vented”, “attic - unvented”, “living space”, or “garage”. See *HPXML Locations* for descriptions.

¹⁴ If `Azimuth` not provided, modeled as four surfaces of equal area facing every direction.

¹⁵ `RadiantBarrierGrade` only required if `RadiantBarrier` is provided.

¹⁶ `AssemblyEffectiveRValue` includes all material layers, interior/exterior air films, and insulation installation grade.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
SystemIdentifier	id			Yes		Unique identifier
ExteriorAdjacentTo	string		See ¹⁷	Yes		Exterior adjacent space type
InteriorAdjacentTo	string		See ¹⁸	Yes		Interior adjacent space type
Area	double	ft2	> 0	Yes		Gross area
Azimuth	integer	deg	0 - 359	No	See ¹⁹	Azimuth (clockwise from North)
SolarAbsorptance	double		0 - 1	Yes		Solar absorptance
Emittance	double		0 - 1	Yes		Emittance
Insulation/ SystemIdentifier	id			Yes		Unique identifier
Insulation/ AssemblyEffectiveRValue	double	F-ft2- hr/Btu	> 0	Yes		Assembly R-value ²⁰

4.7.6 HPXML Walls

Each wall that has no contact with the ground and bounds a space type is entered as an /HPXML/Building/BuildingDetails/Enclosure/Walls/Wall.

¹⁷ ExteriorAdjacentTo choices are “outside”, “attic - vented”, “attic - unvented”, “basement - conditioned”, “basement - unconditioned”, “crawl space - vented”, “crawl space - unvented”, “garage”, “other housing unit”, “other heated space”, “other multifamily buffer space”, or “other non-freezing space”. See [HPXML Locations](#) for descriptions.

¹⁸ InteriorAdjacentTo choices are “living space”, “attic - vented”, “attic - unvented”, “basement - conditioned”, “basement - unconditioned”, “crawl space - vented”, “crawl space - unvented”, or “garage”. See [HPXML Locations](#) for descriptions.

¹⁹ If Azimuth not provided, modeled as four surfaces of equal area facing every direction.

²⁰ AssemblyEffectiveRValue includes all material layers, interior/exterior air films, and insulation installation grade.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
SystemIdentifier	id			Yes		Unique identifier
ExteriorAdjacentTo	string		See ²¹	Yes		Exterior adjacent space type
InteriorAdjacentTo	string		See ²²	Yes		Interior adjacent space type
WallType	element		1 ²³	Yes		Wall type (for thermal mass)
Area	double	ft2	> 0	Yes		Gross area (including doors/windows)
Azimuth	integer	deg	0 - 359	No	See ²⁴	Azimuth (clockwise from North)
SolarAbsorptance	double		0 - 1	Yes		Solar absorptance
Emittance	double		0 - 1	Yes		Emittance
Insulation/ SystemIdentifier	id			Yes		Unique identifier
Insulation/ AssemblyEffectiveRValue	double	F-ft2- hr/Btu	> 0	Yes		Assembly R-value ²⁵

4.7.7 HPXML Foundation Walls

Each wall that is in contact with the ground should be specified as an `/HPXML/Building/BuildingDetails/Enclosure/FoundationWalls/FoundationWall`.

Other walls (e.g., wood framed walls) that are connected to a below-grade space but have no contact with the ground should be specified as a `Wall` and not a `FoundationWall`.

²¹ ExteriorAdjacentTo choices are “outside”, “attic - vented”, “attic - unvented”, “basement - conditioned”, “basement - unconditioned”, “crawl space - vented”, “crawl space - unvented”, “garage”, “other housing unit”, “other heated space”, “other multifamily buffer space”, or “other non-freezing space”. See *HPXML Locations* for descriptions.

²² InteriorAdjacentTo choices are “living space”, “attic - vented”, “attic - unvented”, “basement - conditioned”, “basement - unconditioned”, “crawl space - vented”, “crawl space - unvented”, or “garage”. See *HPXML Locations* for descriptions.

²³ WallType child element choices are WoodStud, DoubleWoodStud, ConcreteMasonryUnit, StructurallyInsulatedPanel, InsulatedConcreteForms, SteelFrame, SolidConcrete, StructuralBrick, StrawBale, Stone, LogWall, or Adobe.

²⁴ If Azimuth not provided, modeled as four surfaces of equal area facing every direction.

²⁵ AssemblyEffectiveRValue includes all material layers, interior/exterior air films, and insulation installation grade.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
SystemIdentifier	id			Yes		Unique identifier
ExteriorAdjacentTo	string		See ²⁶	Yes		Exterior adjacent space type ²⁷
InteriorAdjacentTo	string		See ²⁸	Yes		Interior adjacent space type
Height	double	ft	> 0	Yes		Total height
Area	double	ft2	> 0	Yes		Gross area (including doors/windows)
Azimuth	integer	deg	0 - 359	No	See ²⁹	Azimuth (clockwise from North)
Thickness	double	inches	> 0	Yes		Thickness excluding interior framing
DepthBelowGrade	double	ft	0 - Height	Yes		Depth below grade ³⁰
Insulation/SystemIdentifier	id			Yes		Unique identifier
Insulation/Layer[InstallationType="continuous - interior"]	element		0 - 1	See ³¹		Interior insulation layer
Insulation/Layer[InstallationType="continuous - exterior"]	element		0 - 1	See ³²		Exterior insulation layer
Insulation/AssemblyEffectiveRValue	double	F-ft2-hr/Btu	> 0	See ³³		Assembly R-value ³⁴

If insulation layers are provided, additional information is entered in each `FoundationWall/Insulation/Layer`.

²⁶ ExteriorAdjacentTo choices are "ground", "basement - conditioned", "basement - unconditioned", "crawlspace - vented", "crawlspace - unvented", "garage", "other housing unit", "other heated space", "other multifamily buffer space", or "other non-freezing space". See [HPXML Locations](#) for descriptions.

²⁷ InteriorAdjacentTo choices are "basement - conditioned", "basement - unconditioned", "crawlspace - vented", "crawlspace - unvented", or "garage". See [HPXML Locations](#) for descriptions.

²⁸ Interior foundation walls (e.g., between basement and crawlspace) should **not** use "ground" even if the foundation wall has some contact with the ground due to the difference in below-grade depths of the two adjacent spaces.

²⁹ If Azimuth not provided, modeled as four surfaces of equal area facing every direction.

³⁰ For exterior foundation walls, depth below grade is relative to the ground plane. For interior foundation walls, depth below grade is the vertical span of foundation wall in contact with the ground. For example, an interior foundation wall between an 8 ft conditioned basement and a 3 ft crawlspace has a height of 8 ft and a depth below grade of 5 ft. Alternatively, an interior foundation wall between an 8 ft conditioned basement and an 8 ft unconditioned basement has a height of 8 ft and a depth below grade of 0 ft.

³¹ Layer[InstallationType="continuous - interior"] only required if AssemblyEffectiveRValue is not provided.

³² Layer[InstallationType="continuous - exterior"] only required if AssemblyEffectiveRValue is not provided.

³³ AssemblyEffectiveRValue only required if Layer elements are not provided.

³⁴ AssemblyEffectiveRValue includes all material layers, interior air film, and insulation installation grade. R-value should **not** include exterior air film (for any above-grade exposure) or any soil thermal resistance.

Element	Type	Units	Constraints	Required	Default	Notes
NominalRValue	double	F-ft2-hr/Btu	≥ 0	Yes		R-value of the foundation wall insulation; use zero if no insulation
extension/ DistanceToTopOfInsulation	double	ft	≥ 0	Yes		Vertical distance from top of foundation wall to top of insulation
extension/ DistanceToBottomOfInsulation	double	ft	DistanceTo- TopOfInsulation - Height	Yes		Vertical distance from top of foundation wall to bottom of insulation

4.7.8 HPXML Frame Floors

Each horizontal floor/ceiling surface that is not in contact with the ground (Slab) nor adjacent to ambient conditions above (Roof) is entered as an `/HPXML/Building/BuildingDetails/Enclosure/FrameFloors/FrameFloor`.

Element	Type	Units	Constraints	Required	Default	Notes
SystemIdentifier	id			Yes		Unique identifier
ExteriorAdjacentTo	string		See ³⁵	Yes		Exterior adjacent space type
InteriorAdjacentTo	string		See ³⁶	Yes		Interior adjacent space type
Area	double	ft2	> 0	Yes		Gross area
Insulation/ SystemIdentifier	id			Yes		Unique identifier
Insulation/ AssemblyEffectiveRValue	double	F-ft2-hr/Btu	> 0	Yes		Assembly R-value ³⁷

For frame floors adjacent to “other housing unit”, “other heated space”, “other multifamily buffer space”, or “other non-freezing space”, additional information is entered in `FrameFloor`.

Element	Type	Units	Constraints	Required	Default	Notes
extension/ OtherSpaceAboveOrBelow	string		See ³⁸	Yes		Specifies if above/below the MF space type

³⁵ ExteriorAdjacentTo choices are “outside”, “attic - vented”, “attic - unvented”, “basement - conditioned”, “basement - unconditioned”, “crawl space - vented”, “crawl space - unvented”, “garage”, “other housing unit”, “other heated space”, “other multifamily buffer space”, or “other non-freezing space”. See *HPXML Locations* for descriptions.

³⁶ InteriorAdjacentTo choices are “living space”, “attic - vented”, “attic - unvented”, “basement - conditioned”, “basement - unconditioned”, “crawl space - vented”, “crawl space - unvented”, or “garage”. See *HPXML Locations* for descriptions.

³⁷ AssemblyEffectiveRValue includes all material layers, interior/exterior air films, and insulation installation grade.

³⁸ OtherSpaceAboveOrBelow choices are “above” or “below”.

4.7.9 HPXML Slabs

Each space type that borders the ground (i.e., basements, crawlspaces, garages, and slab-on-grade foundations) should have a slab entered as an /HPXML/Building/BuildingDetails/Enclosure/Slabs/Slab.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
SystemIdentifier	id			Yes		Unique identifier
InteriorAdjacentTo	string		See ³⁹	Yes		Interior adjacent space type
Area	double	ft2	> 0	Yes		Gross area
Thickness	double	inches	>= 0	Yes		Thickness ⁴⁰
ExposedPerimeter	double	ft	>= 0	Yes		Perimeter exposed to ambient conditions ⁴¹
PerimeterInsulationDepth	double	ft	>= 0	Yes		Depth from grade to bottom of vertical insulation
UnderSlabInsulationWidth	double	ft	>= 0	See ⁴²		Width from slab edge inward of horizontal insulation
UnderSlabInsulationSpansEntireSlab	boolean			See ⁴³		Whether horizontal insulation spans entire slab
DepthBelowGrade	double	ft	>= 0	See ⁴⁴		Depth from the top of the slab surface to grade
PerimeterInsulation/SystemIdentifier	id			Yes		Unique identifier
PerimeterInsulation/Layer/NominalRValue	double	F-ft2-hr/Btu	>= 0	Yes		R-value of vertical insulation
UnderSlabInsulation/SystemIdentifier	id			Yes		Unique identifier
UnderSlabInsulation/Layer/NominalRValue	double	F-ft2-hr/Btu	>= 0	Yes		R-value of horizontal insulation
extension/CarpetFraction	double	frac	0 - 1	Yes		Fraction of slab covered by carpet
extension/CarpetRValue	double	F-ft2-hr/Btu	>= 0	Yes		Carpet R-value

4.7.10 HPXML Windows

Each window or glass door area is entered as an /HPXML/Building/BuildingDetails/Enclosure/Windows/Window.

³⁹ InteriorAdjacentTo choices are “living space”, “basement - conditioned”, “basement - unconditioned”, “crawlspace - vented”, “crawlspace - unvented”, or “garage”. See *HPXML Locations* for descriptions.

⁴⁰ For a crawlspace with a dirt floor, enter a thickness of zero.

⁴¹ ExposedPerimeter includes any slab length that falls along the perimeter of the building’s footprint (i.e., is exposed to ambient conditions). So a basement slab edge adjacent to a garage or crawlspace, for example, should not be included.

⁴² UnderSlabInsulationWidth only required if UnderSlabInsulationSpansEntireSlab=true is not provided.

⁴³ UnderSlabInsulationSpansEntireSlab=true only required if UnderSlabInsulationWidth is not provided.

⁴⁴ DepthBelowGrade only required if the attached foundation has no FoundationWalls. For foundation types with walls, the the slab’s position relative to grade is determined by the FoundationWall/DepthBelowGrade value.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
SystemIdentifier	id			Yes		Unique identifier
Area	double	ft2	> 0	Yes		Total area
Azimuth	integer	deg	0 - 359	Yes		Azimuth (clockwise from North)
UFactor	double	Btu/F-ft2-hr	> 0	Yes		Full-assembly NFRC U-factor
SHGC	double		0 - 1	Yes		Full-assembly NFRC solar heat gain coefficient
Overhangs	element		0 - 1	No	<none>	Presence of overhangs (including roof eaves)
FractionOperable	double	frac	0 - 1	Yes		Operable fraction ⁴⁵
AttachedToWall	idref		See ⁴⁶	Yes		ID of attached wall

If overhangs are specified, additional information is entered in Overhangs.

Element	Type	Units	Constraints	Re-quired	De-fault	Notes
Depth	double	inches	>= 0	Yes		Depth of overhang
DistanceToTopOfWindow	double	ft	>= 0	Yes		Vertical distance from overhang to top of window
DistanceToBottomOfWindow	double	ft	> DistanceToTopOfWindow	Yes		Vertical distance from overhang to bottom of window ⁴⁷

4.7.11 HPXML Skylights

Each skylight is entered as an /HPXML/Building/BuildingDetails/Enclosure/Skylights/Skylight.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
SystemIdentifier	id			Yes		Unique identifier
Area	double	ft2	> 0	Yes		Total area
Azimuth	integer	deg	0 - 359	Yes		Azimuth (clockwise from North)
UFactor	double	Btu/F-ft2-hr	> 0	Yes		Full-assembly NFRC U-factor
SHGC	double		0 - 1	Yes		Full-assembly NFRC solar heat gain coefficient
AttachedToRoof	idref		See ⁴⁸	Yes		ID of attached roof

⁴⁵ FractionOperable reflects whether the windows are operable (can be opened), not how they are used by the occupants. If a Window represents a single window, the value should be 0 or 1. If a Window represents multiple windows (e.g., 4), the value should be between 0 and 1 (e.g., 0, 0.25, 0.5, 0.75, or 1).

⁴⁶ AttachedToWall must reference a Wall or FoundationWall.

⁴⁷ The difference between DistanceToBottomOfWindow and DistanceToTopOfWindow defines the height of the window.

⁴⁸ AttachedToRoof must reference a Roof.

4.7.12 HPXML Doors

Each opaque door is entered as an /HPXML/Building/BuildingDetails/Enclosure/Doors/Door.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
SystemIdentifier	id			Yes		Unique identifier
AttachedToWall	idref		See ⁴⁹	Yes		ID of attached wall
Area	double	ft2	> 0	Yes		Total area
Azimuth	integer	deg	0 - 359	Yes		Azimuth (clockwise from North)
RValue	double	F-ft2-hr/Btu	> 0	Yes		R-value

4.8 HPXML Systems

The dwelling unit's systems are entered in /HPXML/Building/BuildingDetails/Systems.

4.8.1 HPXML Heating Systems

Each heating system (other than a heat pump) is entered as an /HPXML/Building/BuildingDetails/Systems/HVAC/HVACPlant/HeatingSystem.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
SystemIdentifier	id			Yes		Unique identifier
HeatingSystemType	element		1 ⁵⁰	Yes		Type of heating system
FractionHeatLoadServed	double	frac	0 - 1 ⁵¹	Yes		Fraction of heating load served
HeatingSystemFuel	string		See ⁵²	Yes		Fuel type
HeatingCapacity	double	Btu/hr	>= 0	See ⁵³		Input heating capacity

Electric Resistance

If electric resistance heating is specified, additional information is entered in HeatingSystem.

⁴⁹ AttachedToWall must reference a Wall or FoundationWall.

⁵⁰ HeatingSystemType child element choices are ElectricResistance, Furnace, WallFurnace, FloorFurnace, Boiler, Stove, PortableHeater, FixedHeater, or Fireplace.

⁵¹ The sum of all FractionHeatLoadServed (across both HeatingSystems and HeatPumps) must be less than or equal to 1.

⁵² HeatingSystemFuel choices are "natural gas", "fuel oil", "propane", "electricity", "wood", or "wood pellets". For ElectricResistance, "electricity" is required.

⁵³ HeatingCapacity required for all systems other than shared boilers.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
AnnualHeatingEfficiency[Units="Percent"] Value	double	frac	0 - 1	Yes		Efficiency

Furnace

If a furnace is specified, additional information is entered in `HeatingSystem`.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
DistributionSystem	idref		See ⁵⁴	Yes		ID of attached distribution system
AnnualHeatingEfficiency[Units="Percent"] Value	double	frac	0 - 1	Yes		Rated efficiency
extension/FanPowerWattsPerCFM or extension/ FanPowerNotTested=true	double or boolean	W/cfm	>= 0 ⁵⁵	Yes		In accordance with ANSI/RESNET/ACCA 310
extension/AirflowDefectRatio or extension/ AirflowNotTested=true	double or boolean	frac	> -1	Yes		In accordance with ANSI/RESNET/ACCA 310

Warning: HVAC installation quality should be provided per the conditions specified in ANSI/RESNET/ACCA 310. OS-ERI does not check that, for example, the total duct leakage requirement has been met or that a Grade I/II input is appropriate per the ANSI 310 process flow; that is currently the responsibility of the software developer.

Wall/Floor Furnace

If a wall furnace or floor furnace is specified, additional information is entered in `HeatingSystem`.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
AnnualHeatingEfficiency[Units="AFUE"] Value	double	frac	0 - 1	Yes		Rated efficiency
extension/FanPowerWatts	double	W	>= 0	No	0	Fan power

Boiler

If a boiler is specified, additional information is entered in `HeatingSystem`.

⁵⁴ HVACDistribution type must be AirDistribution or DSE.

⁵⁵ If there is a cooling system attached to the DistributionSystem, the heating and cooling systems cannot have different values for FanPowerWattsPerCFM.

Element	Type	Units	Constraints	Required	Default	Notes
IsSharedSystem	boolean			Yes		Whether it serves multiple dwelling units
DistributionID	idref		See ⁵⁶	Yes		ID of attached distribution system
AnnualHeatingEfficiencyValue	double	frac	Units="AFUE"] /	Yes		Rated efficiency
ElectricAuxiliaryEnergy	double	kWh/yr	>= 0	No ⁵⁷	See ⁵⁸	Electric auxiliary energy

Stove

If a stove is specified, additional information is entered in `HeatingSystem`.

Element	Type	Units	Constraints	Required	Default	Notes
AnnualHeatingEfficiencyValue	double	frac	0 - 1	Yes		Efficiency
extension/FanPowerWatts	double	W	>= 0	No	40	Fan power

Portable/Fixed Heater

If a portable heater or fixed heater is specified, additional information is entered in `HeatingSystem`.

⁵⁶ For in-unit boilers, HVACDistribution type must be HydronicDistribution (type: “radiator”, “baseboard”, “radiant floor”, “radiant ceiling”, or “water loop”) or DSE. For shared boilers, HVACDistribution type must be HydronicDistribution (type: “radiator”, “baseboard”, “radiant floor”, “radiant ceiling”, or “water loop”) or AirDistribution (type: “fan coil”). If the shared boiler has “water loop” distribution, a *Water-Loop-to-Air Heat Pump* must also be specified.

For shared boilers, ElectricAuxiliaryEnergy can alternatively be calculated as:

$$EAE = (SP / N_{dweq} + aux_{in}) * HLH$$

where

SP = Shared pump power [W] provided as extension/SharedLoopWatts,

N_{dweq} = Number of units served by the shared system provided as NumberOfUnitsServed,

aux_{in} = In-unit fan coil power [W] provided as extension/FanCoilWatts,

HLH = Annual heating load hours.

⁵⁸ If ElectricAuxiliaryEnergy not provided (nor calculated for shared boilers), defaults as follows:

- Oil boiler: 330
- Gas boiler (in-unit): 170
- Gas boiler (shared, w/ baseboard): 220
- Gas boiler (shared, w/ water loop heat pump): 265
- Gas boiler (shared, w/ fan coil): 438

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
AnnualHeatingEfficiency[Units="Percent Value	double	frac	0 - 1	Yes		Effi- ciency
extension/FanPowerWatts	double	W	>= 0	No	0	Fan power

Fireplace

If a fireplace is specified, additional information is entered in HeatingSystem.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
AnnualHeatingEfficiency[Units="Percent Value	double	frac	0 - 1	Yes		Effi- ciency
extension/FanPowerWatts	double	W	>= 0	No	0	Fan power

4.8.2 HPXML Cooling Systems

Each cooling system (other than a heat pump) is entered as an /HPXML/Building/BuildingDetails/Systems/HVAC/HVACPlant/CoolingSystem.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
SystemIdentifier	id			Yes		Unique identifier
CoolingSystemType	string		See ⁵⁹	Yes		Type of cooling system
CoolingSystemFuel	string		See ⁶⁰	Yes		Fuel type
FractionCoolLoadServed	double	frac	0 - 1 ⁶¹	Yes		Fraction of cooling load served

Central Air Conditioner

If a central air conditioner is specified, additional information is entered in CoolingSystem.

⁵⁹ CoolingSystemType choices are “central air conditioner”, “room air conditioner”, “evaporative cooler”, “mini-split”, “chiller”, or “cooling tower”.

⁶⁰ CoolingSystemFuel only choice is “electricity”.

⁶¹ The sum of all FractionCoolLoadServed (across both CoolingSystems and HeatPumps) must be less than or equal to 1.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
DistributionSystem	idref		See ⁶²	Yes		ID of attached distribution system
AnnualCoolingEfficiency[Units="Btu/Wh"] / Value	double	Btu/Wh	> 0	Yes		Rated efficiency
CoolingCapacity	double	Btu/hr	>= 0	Yes		Cooling capacity
SensibleHeatFraction	double	frac	0 - 1	No		Sensible heat fraction
CompressorType	string		See ⁶³	No	See ⁶⁴	Type of compressor
extension/FanPowerWattsPerCFM or extension/FanPowerNotTested=true	double or boolean	W/cfm	>= 0 ⁶⁵	Yes		In accordance with ANSI/RESNET/ACCA 310
extension/AirflowDefectRatio or extension/AirflowNotTested=true	double or boolean	frac	> -1	Yes		In accordance with ANSI/RESNET/ACCA 310
extension/ChargeDefectRatio or extension/ChargeNotTested=true	double or boolean	frac	-0.25, 0, 0.25	Yes		In accordance with ANSI/RESNET/ACCA 310

Warning: HVAC installation quality should be provided per the conditions specified in ANSI/RESNET/ACCA 310. OS-ERI does not check that, for example, the total duct leakage requirement has been met or that a Grade I/II input is appropriate per the ANSI 310 process flow; that is currently the responsibility of the software developer.

Room Air Conditioner

If a room air conditioner is specified, additional information is entered in `CoolingSystem`.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
AnnualCoolingEfficiency[Units="Btu/Wh"] / Value	double	Btu/Wh	> 0	Yes		Rated efficiency
CoolingCapacity	double	Btu/hr	>= 0	Yes		Cooling capacity
SensibleHeatFraction	double	frac	0 - 1	No		Sensible heat fraction

Evaporative Cooler

If an evaporative cooler is specified, additional information is entered in `CoolingSystem`.

⁶² HVACDistribution type must be AirDistribution or DSE.

⁶³ CompressorType choices are “single stage”, “two stage”, or “variable speed”.

⁶⁴ If CompressorType not provided, defaults to “single stage” if SEER <= 15, else “two stage” if SEER <= 21, else “variable speed”.

⁶⁵ If there is a heating system attached to the DistributionSystem, the heating and cooling systems cannot have different values for FanPowerWattsPerCFM.

Element	Type	Units	Con-straints	Re-quired	Default	Notes
DistributionSystem	idref		See ⁶⁶	No		ID of attached distribution system
CoolingCapacity	double	Btu/hr	>= 0	No	auto-sized	Cooling capacity

Mini-Split

If a mini-split is specified, additional information is entered in CoolingSystem.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
DistributionSystem	idref		See ⁶⁷	No		ID of attached distribution system
AnnualCoolingEfficiency[Units="double"] / Value	double	Btu/W-hr	> 0	Yes		Rated cooling efficiency
CoolingCapacity	double	Btu/hr	>= 0	Yes		Cooling capacity
SensibleHeatFraction	double	frac	0 - 1	No		Sensible heat fraction
extension/ChargeDefectRatio or extension/ChargeNotTested=true	double or boolean	frac	-0.25, 0, 0.25	Yes		In accordance with ANSI/RESNET/ACCA 310

If a ducted mini-split is specified (i.e., a DistributionSystem has been entered), additional information is entered in CoolingSystem.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
extension/FanPowerWattsPerCFM or extension/FanPowerNotTested=true	double or boolean	W/cfm	>= 0	Yes		In accordance with ANSI/RESNET/ACCA 310
extension/AirflowDefectRatio or extension/AirflowNotTested=true	double or boolean	frac	> -1	Yes		In accordance with ANSI/RESNET/ACCA 310

Warning: HVAC installation quality should be provided per the conditions specified in ANSI/RESNET/ACCA 310. OS-ERI does not check that, for example, the total duct leakage requirement has been met or that a Grade I/II input is appropriate per the ANSI 310 process flow; that is currently the responsibility of the software developer.

Chiller

If a chiller is specified, additional information is entered in CoolingSystem.

⁶⁶ If provided, HVACDistribution type must be AirDistribution or DSE.

⁶⁷ HVACDistribution type must be AirDistribution or DSE.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
IsSharedSystem	boolean		true	Yes		Whether it serves multiple dwelling units
DistributionSystem	idref		See ⁶⁸	Yes		ID of attached distribution system
NumberOfUnitsServed	integer		> 1	Yes		Number of dwelling units served
CoolingCapacity	double	Btu/hr	>= 0	Yes		Total cooling capacity
AnnualCoolingEfficiency[Units=0.1 ton]/Value	double	kW/ton	> 0	Yes		Rated efficiency
extension/SharedLoopWatts	double	W	>= 0	Yes		Pumping and fan power serving the system
extension/FanCoilWatts	double	W	>= 0	See ⁶⁹		Fan coil power

Cooling Tower

If a cooling tower is specified, additional information is entered in `CoolingSystem`.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
IsSharedSystem	boolean		true	Yes		Whether it serves multiple dwelling units
DistributionSystem	idref		See ⁷⁰	Yes		ID of attached distribution system
NumberOfUnitsServed	integer		> 1	Yes		Number of dwelling units served
extension/SharedLoopWatts	double	W	>= 0	Yes		Pumping and fan power serving the system

4.8.3 HPXML Heat Pumps

Each heat pump is entered as an `/HPXML/Building/BuildingDetails/Systems/HVAC/HVACPlant/HeatPump`.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
SystemIdentifier	id			Yes		Unique identifier
HeatPumpType	string		See ⁷¹	Yes		Type of heat pump
HeatPumpFuel	string		See ⁷²	Yes		Fuel type
BackupSystemFuel	string		See ⁷³	No		Fuel type of backup heating, if present

⁶⁸ HVACDistribution type must be HydronicDistribution (type: “radiator”, “baseboard”, “radiant floor”, “radiant ceiling”, or “water loop”) or AirDistribution (type: “fan coil”). If the chiller has “water loop” distribution, a *Water-Loop-to-Air Heat Pump* must also be specified.

⁶⁹ FanCoilWatts only required if chiller connected to a fan coil.

⁷⁰ HVACDistribution type must be HydronicDistribution (type: “water loop”). A *Water-Loop-to-Air Heat Pump* must also be specified.

If a backup system fuel is provided, additional information is entered in `HeatPump`.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
<code>BackupAnnualHeatingEfficiency</code> [Units= <code>double</code> or Units= <code>"AFUE"</code>]/Value	double	frac	"0 - 1"	Yes		Backup heating efficiency
<code>BackupHeatingCapacity</code>	double	Btu/hr	>= 0	Yes		Backup heating capacity
<code>BackupHeatingSwitchoverTemperature</code>	double	F		No	<none>	Backup heating switchover temperature ⁷⁴

Air-to-Air Heat Pump

If an air-to-air heat pump is specified, additional information is entered in `HeatPump`.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
<code>DistributionSystem</code>	idref		See ⁷⁵	Yes		ID of attached distribution system
<code>CompressorType</code>	string		See ⁷⁶	No	See ⁷⁷	Type of compressor
<code>HeatingCapacity</code>	double	Btu/hr	>= 0	Yes		Heating capacity (excluding any backup heating)
<code>HeatingCapacity17F</code>	double	Btu/hr	>= 0	No		Heating capacity at 17F, if available
<code>CoolingCapacity</code>	double	Btu/hr	>= 0	Yes		Cooling capacity
<code>CoolingSensibleHeatFraction</code>	double	frac	0 - 1	No		Sensible heat fraction
<code>FractionHeatLoadServed</code>	double	frac	0 - 1 ⁷⁸	Yes		Fraction of heating load served
<code>FractionCoolLoadServed</code>	double	frac	0 - 1 ⁷⁹	Yes		Fraction of cooling load served
<code>AnnualCoolingEfficiency</code> [Units= <code>"SEER"</code>]/Value	double	Btu/W-h	> 0	Yes		Rated cooling efficiency
<code>AnnualHeatingEfficiency</code> [Units= <code>"HSPF"</code>]/Value	double	Btu/W-h	> 0	Yes		Rated heating efficiency
<code>extension/FanPowerWattsPerCFM</code> or <code>extension/FanPowerNotTested=true</code>	double or boolean	W/cfm	>= 0	Yes		In accordance with ANSI/RESNET/ACCA 310
<code>extension/AirflowDefectRatio</code> or <code>extension/AirflowNotTested=true</code>	double or boolean	frac	> -1	Yes		In accordance with ANSI/RESNET/ACCA 310
<code>extension/ChargeDefectRatio</code> or <code>extension/ChargeNotTested=true</code>	double or boolean	frac	-0.25, 0, 0.25	Yes		In accordance with ANSI/RESNET/ACCA 310

⁷¹ `HeatPumpType` choices are "air-to-air", "mini-split", "ground-to-air", or "water-loop-to-air".

⁷² `HeatPumpFuel` only choice is "electricity".

⁷³ `BackupSystemFuel` choices are "electricity", "natural gas", "fuel oil", "propane", "wood", or "wood pellets".

⁷⁴ Provide `BackupHeatingSwitchoverTemperature` for, e.g., a dual-fuel heat pump, in which there is a discrete outdoor temperature when the heat pump stops operating and the backup heating system starts operating. If not provided, the backup heating system will operate as needed when the heat pump has insufficient capacity.

Warning: HVAC installation quality should be provided per the conditions specified in ANSI/RESNET/ACCA 310. OS-ERI does not check that, for example, the total duct leakage requirement has been met or that a Grade I/II input is appropriate per the ANSI 310 process flow; that is currently the responsibility of the software developer.

Mini-Split Heat Pump

If a mini-split heat pump is specified, additional information is entered in `HeatPump`.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
DistributionSystem	idref		See ⁸⁰	No		ID of attached distribution system, if present
HeatingCapacity	double	Btu/hr	≥ 0	Yes		Heating capacity (excluding any backup heating)
HeatingCapacity17F	double	Btu/hr	≥ 0	No		Heating capacity at 17F, if available
CoolingCapacity	double	Btu/hr	≥ 0	Yes		Cooling capacity
CoolingSensibleHeatFraction	double	frac	0 - 1	No		Sensible heat fraction
FractionHeatLoadServed	double	frac	0 - 1 ⁸¹	Yes		Fraction of heating load served
FractionCoolLoadServed	double	frac	0 - 1 ⁸²	Yes		Fraction of cooling load served
AnnualCoolingEfficiency[Units=Value]	"double" / Btu/W	Btu/W	≥ 0	Yes		Rated cooling efficiency
AnnualHeatingEfficiency[Units=Value]	"double" / Btu/W	Btu/W	≥ 0	Yes		Rated heating efficiency
extension/ChargeDefectRatio or extension/ ChargeNotTested=true	double or boolean	frac	-0.25, 0, 0.25	Yes		In accordance with ANSI/RESNET/ACCA 310

If a ducted mini-split is specified (i.e., a `DistributionSystem` has been entered), additional information is entered in `HeatPump`.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
extension/FanPowerWattsPerCFM or extension/ FanPowerNotTested=true	double or boolean	W/cfm	≥ 0	Yes		In accordance with ANSI/RESNET/ACCA 310
extension/AirflowDefectRatio or extension/ AirflowNotTested=true	double or boolean	frac	> -1	Yes		In accordance with ANSI/RESNET/ACCA 310

⁷⁵ HVACDistribution type must be AirDistribution or DSE.

⁷⁶ CompressorType choices are "single stage", "two stage", or "variable speed".

⁷⁷ If CompressorType not provided, defaults to "single stage" if SEER ≤ 15 , else "two stage" if SEER ≤ 21 , else "variable speed".

⁷⁸ The sum of all FractionHeatLoadServed (across both HeatingSystems and HeatPumps) must be less than or equal to 1.

⁷⁹ The sum of all FractionCoolLoadServed (across both CoolingSystems and HeatPumps) must be less than or equal to 1.

⁸⁰ If provided, HVACDistribution type must be AirDistribution or DSE.

⁸¹ The sum of all FractionHeatLoadServed (across both HeatingSystems and HeatPumps) must be less than or equal to 1.

⁸² The sum of all FractionCoolLoadServed (across both CoolingSystems and HeatPumps) must be less than or equal to 1.

Warning: HVAC installation quality should be provided per the conditions specified in ANSI/RESNET/ACCA 310. OS-ERI does not check that, for example, the total duct leakage requirement has been met or that a Grade I/II input is appropriate per the ANSI 310 process flow; that is currently the responsibility of the software developer.

Ground-to-Air Heat Pump

If a ground-to-air heat pump is specified, additional information is entered in `HeatPump`.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
<code>IsSharedSystem</code>	boolean			Yes		Whether it serves multiple dwelling units ⁸³
<code>DistributionSystem</code>	idref		See ⁸⁴	Yes		ID of attached distribution system
<code>HeatingCapacity</code>	double	Btu/hr	≥ 0	Yes		Heating capacity (excluding any backup heating)
<code>CoolingCapacity</code>	double	Btu/hr	≥ 0	Yes		Cooling capacity
<code>CoolingSensibleHeatFraction</code>	double	frac	0 - 1	No		Sensible heat fraction
<code>FractionHeatLoadServed</code>	double	frac	0 - 1 ⁸⁵	Yes		Fraction of heating load served
<code>FractionCoolLoadServed</code>	double	frac	0 - 1 ⁸⁶	Yes		Fraction of cooling load served
<code>AnnualCoolingEfficiency</code> [Units="double/Value"]	double	Btu/W ^h	> 0	Yes		Rated cooling efficiency
<code>AnnualHeatingEfficiency</code> [Units="double/Value"]	double	W/W	> 0	Yes		Rated heating efficiency
<code>NumberOfUnitsServed</code>	integer		> 0	See ⁸⁷		Number of dwelling units served
<code>extension/PumpPowerWattsPerTon</code>	double	W/ton	≥ 0	Yes		Pump power ⁸⁸
<code>extension/SharedLoopWatts</code>	double	W	≥ 0	See ⁸⁹		Shared pump power ⁹⁰
<code>extension/FanPowerWattsPerCFM</code> or <code>extension/FanPowerNotTested=true</code>	double or boolean	W/cfm	≥ 0	Yes		In accordance with ANSI/RESNET/ACCA 310
<code>extension/AirflowDefectRatio</code> or <code>extension/AirflowNotTested=true</code>	double or boolean	frac	> -1	Yes		In accordance with ANSI/RESNET/ACCA 310
<code>extension/ChargeDefectRatio</code>	double or boolean	frac	0 ⁹¹	Yes		In accordance with ANSI/RESNET/ACCA 310

⁸³ `IsSharedSystem` should be true if the SFA/MF building has multiple ground source heat pumps connected to a shared hydronic circulation loop.

⁸⁴ HVACDistribution type must be AirDistribution or DSE.

⁸⁵ The sum of all `FractionHeatLoadServed` (across both HeatingSystems and HeatPumps) must be less than or equal to 1.

⁸⁶ The sum of all `FractionCoolLoadServed` (across both CoolingSystems and HeatPumps) must be less than or equal to 1.

⁸⁷ `NumberOfUnitsServed` only required if `IsSharedSystem` is true, in which case it must be > 1 .

⁸⁸ Pump power is calculated using `PumpPowerWattsPerTon` and the cooling capacity in tons, unless the system only provides heating, in which case the heating capacity in tons is used instead. Any pump power that is shared by multiple dwelling units should be included in `SharedLoopWatts`, *not* `PumpPowerWattsPerTon`.

Warning: HVAC installation quality should be provided per the conditions specified in ANSI/RESNET/ACCA 310. OS-ERI does not check that, for example, the total duct leakage requirement has been met or that a Grade I/II input is appropriate per the ANSI 310 process flow; that is currently the responsibility of the software developer.

Water-Loop-to-Air Heat Pump

If a water-loop-to-air heat pump is specified, additional information is entered in `HeatPump`.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
DistributionSystem	idref		See ⁹²	Yes		ID of attached distribution system
HeatingCapacity	double	Btu/hr	> 0	See ⁹³		Heating capacity
CoolingCapacity	double	Btu/hr	> 0	See ⁹⁴		Cooling capacity
AnnualCoolingEfficiency[Units=coolingValue]	double	Btu/Wh	> 0	See ⁹⁵		Rated cooling efficiency
AnnualHeatingEfficiency[Units=heatingValue]	double	W/W	> 0	See ⁹⁶		Rated heating efficiency

Note: If a water loop heat pump is specified, there must be at least one shared heating system (i.e., *Boiler*) and/or one shared cooling system (i.e., *Chiller* or *Cooling Tower*) specified with water loop distribution.

4.8.4 HPXML HVAC Control

If any HVAC systems are specified, a single thermostat is entered as a `/HPXML/Building/BuildingDetails/Systems/HVAC/HVACControl`.

Element	Type	Units	Constraints	Required	Default	Notes
SystemIdentifier	id			Yes		Unique identifier
ControlType	string		See ⁹⁷	Yes		Type of thermostat

so that shared loop pump power attributed to the dwelling unit is calculated.

⁸⁹ SharedLoopWatts only required if IsSharedSystem is true.

⁹⁰ Shared loop pump power attributed to the dwelling unit is calculated as SharedLoopWatts / NumberofUnitsServed.

⁹¹ ChargeDefectRatio currently constrained to zero for ground-to-air heat pumps due to an EnergyPlus limitation; this constraint will be relaxed in the future. Likewise ChargeNotTested is not currently supported because it results in Grade 3 refrigerant charge, which is a non-zero charge defect ratio.

⁹² HVACDistribution type must be AirDistribution or DSE.

⁹³ HeatingCapacity required if there is a shared boiler with water loop distribution.

⁹⁴ CoolingCapacity required if there is a shared chiller or cooling tower with water loop distribution.

⁹⁵ AnnualCoolingEfficiency required if there is a shared chiller or cooling tower with water loop distribution.

⁹⁶ AnnualHeatingEfficiency required if there is a shared boiler with water loop distribution.

⁹⁷ ControlType choices are “manual thermostat” or “programmable thermostat”.

4.8.5 HPXML HVAC Distribution

Each separate HVAC distribution system is entered as a `/HPXML/Building/BuildingDetails/Systems/HVAC/HVACDistribution`.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
SystemIdentifier	id			Yes		Unique identifier
DistributionSystemType	ele- ment		1 ⁹⁸	Yes		Type of distribution sys- tem
ConditionedFloorAreaServed	dou- ble	ft2	> 0	See ⁹⁹		Conditioned floor area served

Note: There should be at most one heating system and one cooling system attached to a distribution system. See [HPXML Heating Systems](#), [HPXML Cooling Systems](#), and [HPXML Heat Pumps](#) for information on which DistributionSystemType is allowed for which HVAC system. Also note that some HVAC systems (e.g., room air conditioners) are not allowed to be attached to a distribution system.

Air Distribution

To define an air distribution system, additional information is entered in `HVACDistribution/DistributionSystemType/AirDistribution`.

Element	Type	Units	Constraints	Required	Default	Notes
AirDistributionType	string		See ¹⁰⁰	See ¹⁰¹		Type of air distribution

For the air distribution system, the presence of duct leakage must be entered in one of three ways:

1. Leakage to the Outside

Supply and return leakage to the outside are each entered as a `HVACDistribution/DistributionSystemType/AirDistribution/DuctLeakageMeasurement`:

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
DuctType	string		See ¹⁰²	Yes		Supply or return ducts
DuctLeakage/Units	string		CFM25	Yes		Duct leakage units
DuctLeakage/Value	dou- ble		>= 0	Yes		Duct leakage value ¹⁰³
DuctLeakage/ TotalOrToOutside	string		to outside	Yes		Type of duct leakage (outside condi- tioned space vs total)

2. Total Leakage (Version 2014ADEGL or newer)

⁹⁸ DistributionSystemType child element choices are AirDistribution, HydronicDistribution, or Other=DSE.

⁹⁹ ConditionedFloorAreaServed is required for AirDistribution type.

¹⁰⁰ AirDistributionType choices are “gravity”, “high velocity”, “regular velocity”, or “fan coil”.

¹⁰¹ AirDistributionType only required if the distribution system is for shared boilers/chillers with fan coils, in which case value must be “fan coil”.

¹⁰² DuctType choices are “supply” or “return”.

¹⁰³ If the HVAC system has no return ducts (e.g., a ducted evaporative cooler), use zero for the Value.

Total leakage is entered as a HVACDistribution/DistributionSystemType/AirDistribution/DuctLeakageMeasurement:

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
DuctLeakage/Units	string		CFM25	Yes		Duct leakage units
DuctLeakage/Value	dou-ble		>= 0	Yes		Duct leakage value
DuctLeakage/ TotalOrToOutside	string		total	Yes		Type of duct leakage (outside condi-tioned space vs total)

If the ResidentialFacilityType is “apartment unit”, OS-ERI will calculate leakage to outside for the given distribution system as half the total leakage.

If the ResidentialFacilityType is anything else, OS-ERI will calculate leakage to outside for the given distribution system based on total leakage, the fraction of duct surface area outside conditioned space, and HVAC capacities. OS-ERI currently assumes the air handler is located outside conditioned space; future inputs will be available to describe when the air handler is within conditioned space.

Warning: Total leakage should only be used if the conditions specified in ANSI/RESNET/ICC 301 have been appropriately met. OS-ERI does not check that, for example, the total duct leakage or infiltration requirements for dwellings and townhouses have been met per ANSI 301; that is currently the responsibility of the software developer.

3. Leakage to Outside Testing Exemption (Version 2014AD or newer)

A duct leakage to outside testing exemption is entered in HVACDistribution/DistributionSystemType/AirDistribution:

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
extension/ DuctLeakageToOutsideTestingExemption=true	boolean		true	Yes		Leakage to outside exemption?

OS-ERI will use a DSE of 0.88 for the given distribution system.

Warning: The duct leakage to outside testing exemption should only be used if the conditions specified in ANSI/RESNET/ICC 301 have been appropriately met.

Additionally, each supply/return duct present is entered in a HVACDistribution/DistributionSystemType/AirDistribution/Ducts.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
DuctType	string		See ¹⁰⁴	Yes		Supply or return ducts
DuctInsulationRValue	dou-ble	F-ft2-hr/Btu	>= 0	Yes		R-value of duct insula-tion ¹⁰⁵
DuctSurfaceArea	dou-ble	ft2	>= 0	Yes		Duct surface area
DuctLocation	string		See ¹⁰⁶	Yes		Duct location

Hydronic Distribution

To define a hydronic distribution system, additional information is entered in HVACDistribution/DistributionSystemType/HydronicDistribution.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
HydronicDistributionType	string		See ¹⁰⁷	Yes		Type of hydronic distribution system

Distribution System Efficiency (DSE)

Warning: A simplified DSE model is provided for flexibility, but it is **strongly** recommended to use one of the other detailed distribution system types for better accuracy.

To define a DSE system, additional information is entered in HVACDistribution.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
AnnualHeatingDistributionSystemEfficiency	double	Efficiency	Deny	Yes		Seasonal distribution system efficiency for heating
AnnualCoolingDistributionSystemEfficiency	double	Efficiency	Deny	Yes		Seasonal distribution system efficiency for cooling

DSE values can be calculated from [ASHRAE Standard 152](#).

4.8.6 HPXML Ventilation Fan

Each mechanical ventilation system that provides ventilation to the whole dwelling unit is entered as a /HPXML/Building/BuildingDetails/Systems/MechanicalVentilation/VentilationFans/VentilationFan.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
SystemIdentifier	id			Yes		Unique identifier
UsedForWholeBuildingVentilation	boolean		true	Yes		Must be set to true
IsSharedSystem	boolean		See ¹⁰⁸	Yes		Whether it serves multiple dwelling units
FanType	string		See ¹⁰⁹	Yes		Type of ventilation system
HoursInOperation	double	hrs/day	0 - 24	Yes		Hours per day of operation
FanPower or extension/ FanPowerDefaulted=true	double or boolean	W	>= 0 or true	Yes		Fan power or whether fan power is unknown

¹⁰⁴ DuctType choices are “supply” or “return”.

¹⁰⁵ DuctInsulationRValue should not include air films (i.e., use 0 for an uninsulated duct).

¹⁰⁶ DuctLocation choices are “living space”, “basement - conditioned”, “basement - unconditioned”, “crawl space - unvented”, “crawl space - vented”, “attic - unvented”, “attic - vented”, “garage”, “outside”, “exterior wall”, “under slab”, “roof deck”, “other housing unit”, “other heated space”, “other multifamily buffer space”, or “other non-freezing space”. See [HPXML Locations](#) for descriptions.

¹⁰⁷ HydronicDistributionType choices are “radiator”, “baseboard”, “radiant floor”, or “radiant ceiling”.

Exhaust/Supply Only

If a supply only or exhaust only system is specified, no additional information is entered.

Balanced

If a balanced system is specified, no additional information is entered.

Heat Recovery Ventilator

If a heat recovery ventilator system is specified, additional information is entered in `VentilationFan`.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
<code>SensibleRecoveryEfficiency</code> or <code>AdjustedSensibleRecoveryEfficiency</code>	double	frac	0 - 1	Yes		(Adjusted) Sensible recovery efficiency

Energy Recovery Ventilator

If an energy recovery ventilator system is specified, additional information is entered in `VentilationFan`.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
<code>TotalRecoveryEfficiency</code> or <code>AdjustedTotalRecoveryEfficiency</code>	double	frac	0 - 1	Yes		(Adjusted) Total recovery efficiency
<code>SensibleRecoveryEfficiency</code> or <code>AdjustedSensibleRecoveryEfficiency</code>	double	frac	0 - 1	Yes		(Adjusted) Sensible recovery efficiency

Central Fan Integrated Supply

If a central fan integrated supply system is specified, additional information is entered in `VentilationFan`.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
<code>AttachedToHVACDistributionSystem</code>	Idref		See ¹¹⁰	Yes		ID of attached distribution system

In-Unit System

If the specified system is not a shared system (i.e., not serving multiple dwelling units), additional information is entered in `VentilationFan`.

¹⁰⁸ For central fan integrated supply systems, `IsSharedSystem` must be false.

¹⁰⁹ `FanType` choices are “energy recovery ventilator”, “heat recovery ventilator”, “exhaust only”, “supply only”, “balanced”, or “central fan integrated supply”.

¹¹⁰ `HVACDistribution` type cannot be `HydronicDistribution`.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
TestedFlowRate or extension/ FlowRateNotTested=true	double or boolean	cfm	>= 0 or true	Yes		Flow rate ¹¹¹ or whether flow rate unmeasured

Shared System

If the specified system is a shared system (i.e., serving multiple dwelling units), additional information is entered in VentilationFan.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
RatedFlowRate	double	cfm	>= 0	Yes		Total flow rate of shared system
FractionRecirculation	double	frac	0 - 1	Yes		Fraction of supply air that is re-circulated ¹¹²
extension/InUnitFlowRate or extension/ FlowRateNotTested=true	double or boolean	cfm	>= 0 ¹¹³ or true	Yes		Flow rate delivered to the dwelling unit or whether flow rate unmeasured
extension/PreHeating	element		0 - 1	No	<none>	Supply air preconditioned by heating equipment? ¹¹⁴
extension/PreCooling	element		0 - 1	No	<none>	Supply air preconditioned by cooling equipment? ¹¹⁵

If pre-heating is specified, additional information is entered in extension/PreHeating.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
Fuel	string		See ¹¹⁶	Yes		Pre-heating equipment fuel type
AnnualHeatingEfficiencyValue	double	Unitless W/W	> 0	Yes		Pre-heating equipment annual COP
FractionVentilationHeatingLoadServed	double	frac	0 - 1	Yes		Fraction of ventilation heating load served by pre-heating equipment

If pre-cooling is specified, additional information is entered in extension/PreCooling.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
Fuel	string		See ¹¹⁷	Yes		Pre-cooling equipment fuel type
AnnualCoolingEfficiencyValue	double	Unitless W/W	> 0	Yes		Pre-cooling equipment annual COP
FractionVentilationCoolingLoadServed	double	frac	0 - 1	Yes		Fraction of ventilation cooling load served by pre-cooling equipment

¹¹¹ For a central fan integrated supply system, TestedFlowRate should equal the amount of outdoor air provided to the distribution system.

¹¹² 1-FractionRecirculation is assumed to be the fraction of supply air that is provided from outside. The value must be 0 for exhaust only systems.

¹¹³ InUnitFlowRate must also be < RatedFlowRate.

¹¹⁴ PreHeating not allowed for exhaust only systems.

¹¹⁵ PreCooling not allowed for exhaust only systems.

¹¹⁶ Fuel choices are “natural gas”, “fuel oil”, “propane”, “electricity”, “wood”, or “wood pellets”.

¹¹⁷ Fuel only choice is “electricity”.

4.8.7 HPXML Whole House Fan

Each whole house fan that provides cooling load reduction is entered as a /HPXML/Building/BuildingDetails/Systems/MechanicalVentilation/VentilationFans/VentilationFan.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
SystemIdentifier	id			Yes		Unique identifier
UsedForSeasonalCoolingLoadReduction	boolean		true	Yes		Must be set to true
RatedFlowRate	double	cfm	≥ 0	Yes		Flow rate
FanPower	double	W	≥ 0	Yes		Fan power

Note: The whole house fan is assumed to operate during hours of favorable outdoor conditions and will take priority over operable windows (natural ventilation).

4.8.8 HPXML Water Heating Systems

Each water heater is entered as a /HPXML/Building/BuildingDetails/Systems/WaterHeating/WaterHeatingSystem.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
SystemIdentifier	id			Yes		Unique identifier
IsSharedSystem	boolean			Yes		Whether it serves multiple dwelling units or shared laundry room
WaterHeaterType	string		See ¹¹⁸	Yes		Type of water heater
Location	string		See ¹¹⁹	Yes		Water heater location
FractionDHWLoadServed	double	frac	0 - 1 ¹²⁰	Yes		Fraction of hot water load served ¹²¹
UsesDesuperheater	boolean			No	false	Presence of desuperheater?
NumberOfUnitsServed	integer		> 0	See ¹²²		Number of dwelling units served directly or indirectly

¹¹⁸ WaterHeaterType choices are “storage water heater”, “instantaneous water heater”, “heat pump water heater”, “space-heating boiler with storage tank”, or “space-heating boiler with tankless coil”.

¹¹⁹ Location choices are “living space”, “basement - unconditioned”, “basement - conditioned”, “attic - unvented”, “attic - vented”, “garage”, “crawl space - unvented”, “crawl space - vented”, “other exterior”, “other housing unit”, “other heated space”, “other multifamily buffer space”, or “other non-freezing space”. See [HPXML Locations](#) for descriptions.

¹²⁰ The sum of all FractionDHWLoadServed (across all WaterHeatingSystems) must equal to 1.

¹²¹ FractionDHWLoadServed represents only the fraction of the hot water load associated with the hot water fixtures. Additional hot water load from clothes washers/dishwashers will be automatically assigned to the appropriate water heater(s).

¹²² NumberOfUnitsServed only required if IsSharedSystem is true, in which case it must be > 1 .

Conventional Storage

If a conventional storage water heater is specified, additional information is entered in `WaterHeatingSystem`.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
FuelType	string		See ¹²³	Yes		Fuel type
TankVolume	dou- ble	gal	> 0	Yes		Tank volume
HeatingCapacity	dou- ble	Btuh	> 0	No	See ¹²⁴	Heating capacity
UniformEnergyFactor or EnergyFactor	dou- ble	frac	< 1	Yes		EnergyGuide label rated efficiency
FirstHourRating	dou- ble	gal/hr	> 0	See ¹²⁵		EnergyGuide label first hour rating
RecoveryEfficiency	dou- ble	frac	0 - 1	See ¹²⁶		Recovery efficiency
WaterHeaterInsulation/ Jacket/JacketRValue	dou- ble	F-ft2- hr/Btu	>= 0	No	0	R-value of additional tank insulation wrap

Tankless

If an instantaneous tankless water heater is specified, additional information is entered in `WaterHeatingSystem`.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
FuelType	string		See ¹²⁷	Yes		Fuel type
UniformEnergyFactor or EnergyFactor	dou- ble	frac	< 1	Yes		EnergyGuide label rated efficiency

Heat Pump

If a heat pump water heater is specified, additional information is entered in `WaterHeatingSystem`.

¹²³ FuelType choices are “natural gas”, “fuel oil”, “propane”, “electricity”, “wood”, or “wood pellets”.

¹²⁴ If HeatingCapacity not provided, defaults based on Table 8 in the 2014 BAHSP.

¹²⁵ FirstHourRating only required if UniformEnergyFactor provided.

¹²⁶ RecoveryEfficiency only required if FuelType is not electricity.

¹²⁷ FuelType choices are “natural gas”, “fuel oil”, “propane”, “electricity”, “wood”, or “wood pellets”.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
FuelType	string		See ¹²⁸	Yes		Fuel type
TankVolume	dou-ble	gal	> 0	Yes		Tank volume
UniformEnergyFactor or EnergyFactor	dou-ble	frac	> 1	Yes		EnergyGuide label rated efficiency
FirstHourRating	dou-ble	gal/hr	> 0	See ¹²⁹		EnergyGuide label first hour rating
WaterHeaterInsulation/Jacket/JacketRValue	dou-ble	F-ft2-hr/Btu	>= 0	No	0	R-value of additional tank insulation wrap

Combi Boiler w/ Storage

If a combination boiler w/ storage tank (sometimes referred to as an indirect water heater) is specified, additional information is entered in `WaterHeatingSystem`.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
RelatedHVACSystem	idref		See ¹³⁰	Yes		ID of boiler
TankVolume	dou-ble	gal	> 0	Yes		Volume of the storage tank
WaterHeaterInsulation/Jacket/JacketRValue	dou-ble	F-ft2-hr/Btu	>= 0	No	0	R-value of additional storage tank insulation wrap
StandbyLoss	dou-ble	F/hr	> 0	No	See ¹³¹	Storage tank standby losses

Combi Boiler w/ Tankless Coil

If a combination boiler w/ tankless coil is specified, additional information is entered in `WaterHeatingSystem`.

Element	Type	Units	Constraints	Required	Default	Notes
RelatedHVACSystem	idref		See ¹³²	Yes		ID of boiler

Desuperheater

If the water heater uses a desuperheater, additional information is entered in `WaterHeatingSystem`.

¹²⁸ FuelType only choice is “electricity”.

¹²⁹ FirstHourRating only required if UniformEnergyFactor provided.

¹³⁰ RelatedHVACSystem must reference a `HeatingSystem` of type Boiler.

¹³¹ If StandbyLoss not provided, defaults based on a regression analysis of [AHRI Directory of Certified Product Performance](#).

¹³² RelatedHVACSystem must reference a `HeatingSystem` (Boiler).

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
RelatedHVACSystem	idref		See ¹³³	Yes		ID of heat pump or air conditioner

4.8.9 HPXML Hot Water Distribution

If any water heating systems are provided, a single hot water distribution system is entered as a /HPXML/Building/BuildingDetails/Systems/WaterHeating/HotWaterDistribution.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
SystemIdentifier	id			Yes		Unique identifier
SystemType	element		1 ¹³⁴	Yes		Type of in-unit distribution system serving the dwelling unit
PipeInsulation/ PipeRValue	double	F-ft2-hr/Btu	>= 0	Yes		Pipe insulation R-value
DrainWaterHeatRecovery	element		0 - 1	No	<none>	Presence of drain water heat recovery device
extension/ SharedRecirculation	element		0 - 1 ¹³⁵	No	<none>	Presence of shared recirculation system serving multiple dwelling units

Note: In attached/multifamily buildings, only the hot water distribution system serving the dwelling unit should be defined. The hot water distribution associated with, e.g., a shared laundry room should not be defined.

Standard

If the in-unit distribution system is specified as standard, additional information is entered in SystemType/Standard.

Element	Type	Units	Constraints	Required	Default	Notes
PipingLength	double	ft	> 0	Yes		Length of piping ¹³⁶

Recirculation

If the in-unit distribution system is specified as recirculation, additional information is entered in SystemType/Recirculation.

¹³³ RelatedHVACSystem must reference a HeatPump (air-to-air, mini-split, or ground-to-air) or CoolingSystem (central air conditioner).

¹³⁴ SystemType child element choices are Standard and Recirculation.

¹³⁵ If SharedRecirculation is provided, SystemType must be Standard. This is because a stacked recirculation system (i.e., shared recirculation loop plus an additional in-unit recirculation system) is more likely to indicate input errors than reflect an actual real-world scenario.

¹³⁶ PipingLength is the length of hot water piping from the hot water heater (or from a shared recirculation loop serving multiple dwelling units) to the farthest hot water fixture, measured longitudinally from plans, assuming the hot water piping does not run diagonally, plus 10 feet of piping for each floor level, plus 5 feet of piping for unconditioned basements (if any).

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
ControlType	string		See ¹³⁷	Yes		Recirculation control type
RecirculationPipingLoopLength	double	ft	> 0	Yes		Recirculation piping loop length ¹³⁸
BranchPipingLoopLength	double	ft	> 0	Yes		Branch piping loop length ¹³⁹
PumpPower	double	W	>= 0	Yes		Recirculation pump power

Shared Recirculation

If a shared recirculation system is specified, additional information is entered in `extension/SharedRecirculation`.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
NumberOfUnitsServed	integer		> 1	Yes		Number of dwelling units served
PumpPower	double	W	>= 0	No	220	Shared recirculation pump power
ControlType	string		See ¹⁴⁰	Yes		Shared recirculation control type

Drain Water Heat Recovery

If a drain water heat recovery (DWHR) device is specified, additional information is entered in `DrainWaterHeatRecovery`.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
FacilitiesConnected	string		See ¹⁴¹	Yes		Specifies which facilities are connected
EqualFlow	boolean			Yes		Specifies how the DHWR is configured ¹⁴²
Efficiency	double	frac	0 - 1	Yes		Efficiency according to CSA 55.1

¹³⁷ ControlType choices are “manual demand control”, “presence sensor demand control”, “temperature”, “timer”, or “no control”.

¹³⁸ RecirculationPipingLoopLength is the recirculation loop length including both supply and return sides, measured longitudinally from plans, assuming the hot water piping does not run diagonally, plus 20 feet of piping for each floor level greater than one plus 10 feet of piping for unconditioned basements.

¹³⁹ BranchPipingLoopLength is the length of the branch hot water piping from the recirculation loop to the farthest hot water fixture from the recirculation loop, measured longitudinally from plans, assuming the branch hot water piping does not run diagonally.

¹⁴⁰ ControlType choices are “manual demand control”, “presence sensor demand control”, “timer”, or “no control”.

¹⁴¹ FacilitiesConnected choices are “one” or “all”. Use “one” if there are multiple showers and only one of them is connected to the DWHR. Use “all” if there is one shower and it’s connected to the DWHR or there are two or more showers connected to the DWHR.

¹⁴² EqualFlow should be true if the DWHR supplies pre-heated water to both the fixture cold water piping *and* the hot water heater potable supply piping.

4.8.10 HPXML Water Fixtures

Each water fixture is entered as a `/HPXML/Building/BuildingDetails/Systems/WaterHeating/WaterFixture`.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
SystemIdentifier	id			Yes		Unique identifier
WaterFixtureType	string		See ¹⁴³	Yes		Type of water fixture
LowFlow	boolean			Yes		Whether the fixture is considered low-flow ¹⁴⁴

4.8.11 HPXML Solar Thermal

A single solar hot water system can be entered as a `/HPXML/Building/BuildingDetails/Systems/SolarThermal/SolarThermalSystem`.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
SystemIdentifier	id			Yes		Unique identifier
SystemType	string		See ¹⁴⁵	Yes		Type of solar thermal system

Solar hot water systems can be described with either simple or detailed inputs.

Simple Inputs

To define a simple solar hot water system, additional information is entered in `SolarThermalSystem`.

Element	Type	Units	Constraints	Required	Default	Notes
SolarFraction	double	frac	0 - 1	Yes		Solar fraction ¹⁴⁶
ConnectedTo	idref		See ¹⁴⁷	No ¹⁴⁸	<none>	Connected water heater

Detailed Inputs

To define a detailed solar hot water system, additional information is entered in `SolarThermalSystem`.

¹⁴³ WaterFixtureType choices are “shower head” or “faucet”.

¹⁴⁴ LowFlow should be true if the fixture’s flow rate (gpm) is ≤ 2.0 .

¹⁴⁵ SystemType only choice is “hot water”.

¹⁴⁶ Portion of total conventional hot water heating load (delivered energy plus tank standby losses). Can be obtained from [Directory of SRCC OG-300 Solar Water Heating System Ratings](#) or NREL’s [System Advisor Model](#) or equivalent.

¹⁴⁷ ConnectedTo must reference a `WaterHeatingSystem`. The referenced water heater cannot be a space-heating boiler nor attached to a desuperheater.

¹⁴⁸ If ConnectedTo not provided, solar fraction will apply to all water heaters in the building.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
CollectorArea	double	ft2	> 0	Yes		Area
CollectorLoopType	string		See ¹⁴⁹	Yes		Loop type
CollectorType	string		See ¹⁵⁰	Yes		System type
CollectorAzimuth	integer	deg	0 - 359	Yes		Azimuth (clockwise from North)
CollectorTilt	double	deg	0 - 90	Yes		Tilt relative to horizontal
CollectorRatedOpticalEfficiency	double	frac	0 - 1	Yes		Rated optical efficiency ¹⁵¹
CollectorRatedThermalLosses	double	Btu/hr-ft2-R	> 0	Yes		Rated thermal losses ¹⁵²
StorageVolume	double	gal	> 0	Yes		Hot water storage volume
ConnectedTo	idref		See ¹⁵³	Yes		Connected water heater

4.8.12 HPXML Photovoltaics

Each solar electric photovoltaic (PV) system is entered as a /HPXML/Building/BuildingDetails/Systems/Photovoltaics/PVSystem.

Many of the inputs are adopted from the [PVWatts model](#).

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
SystemIdentifier	id			Yes		Unique identifier
IsSharedSystem	boolean			Yes		Whether it serves multiple dwelling units
Location	string		See ¹⁵⁴	Yes		Mounting location
ModuleType	string		See ¹⁵⁵	Yes		Type of module
Tracking	string		See ¹⁵⁶	Yes		Type of tracking
ArrayAzimuth	integer	deg	0 - 359	Yes		Direction panels face (clockwise from North)
ArrayTilt	double	deg	0 - 90	Yes		Tilt relative to horizontal
MaxPowerOutput	double	W	>= 0	Yes		Peak power
InverterEfficiency	double	frac	0 - 1	Yes		Inverter efficiency ¹⁵⁷
SystemLossesFraction	double	frac	0 - 1	Yes		System losses ¹⁵⁸
extension/ NumberofBedroomsServed	integer		> 1	See ¹⁵⁹		Number of bedrooms served

¹⁴⁹ CollectorLoopType choices are “liquid indirect”, “liquid direct”, or “passive thermosyphon”.

¹⁵⁰ CollectorType choices are “single glazing black”, “double glazing black”, “evacuated tube”, or “integrated collector storage”.

¹⁵¹ CollectorRatedOpticalEfficiency is FRTA (y-intercept) from the [Directory of SRCC OG-100 Certified Solar Collector Ratings](#).

¹⁵² CollectorRatedThermalLosses is FRUL (slope) from the [Directory of SRCC OG-100 Certified Solar Collector Ratings](#).

¹⁵³ ConnectedTo must reference a WaterHeatingSystem that is not of type space-heating boiler nor connected to a desuperheater.

4.8.13 HPXML Generators

Each generator that provides on-site power is entered as a `/HPXML/Building/BuildingDetails/Systems/extension/Generators/Generator`.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
SystemIdentifier	id			Yes		Unique identifier
IsSharedSystem	boolean			Yes		Whether it serves multiple dwelling units
FuelType	string		See ¹⁶⁰	Yes		Fuel type
AnnualConsumptionkBtu	double	kBtu/yr	> 0	Yes		Annual fuel consumed
AnnualOutputkWh	double	kWh/yr	> 0 ¹⁶¹	Yes		Annual electricity produced
NumberOfBedroomsServed	integer		> 1	See ¹⁶²		Number of bedrooms served

Note: Generators will be modeled as operating continuously (24/7).

4.9 HPXML Appliances

Appliances entered in `/HPXML/Building/BuildingDetails/Appliances`.

4.9.1 HPXML Clothes Washer

A single clothes washer can be entered as a `/HPXML/Building/BuildingDetails/Appliances/ClothesWasher`.

¹⁵⁴ Location choices are “ground” or “roof” mounted.

¹⁵⁵ ModuleType choices are “standard”, “premium”, or “thin film”.

¹⁵⁶ Tracking choices are “fixed”, “1-axis”, “1-axis backtracked”, or “2-axis”.

¹⁵⁷ Default from PVWatts is 0.96.

¹⁵⁸ System losses due to soiling, shading, snow, mismatch, wiring, degradation, etc. Default from PVWatts is 0.14.

¹⁵⁹ NumberOfBedroomsServed only required if IsSharedSystem is true, in which case it must be > NumberOfBedrooms. PV generation will be apportioned to the dwelling unit using its number of bedrooms divided by the total number of bedrooms served by the PV system.

¹⁶⁰ FuelType choices are “natural gas” or “propane”.

¹⁶¹ AnnualOutputkWh must also be < AnnualConsumptionkBtu*3.412 (i.e., the generator must consume more energy than it produces).

¹⁶² NumberOfBedroomsServed only required if IsSharedSystem is true, in which case it must be > NumberOfBedrooms. Annual consumption and annual production will be apportioned to the dwelling unit using its number of bedrooms divided by the total number of bedrooms served by the generator.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
SystemIdentifier	id			Yes		Unique identifier
IsSharedAppliance	boolean			Yes		Whether it serves multiple dwelling units ¹⁶³
Location	string		See ¹⁶⁴	Yes		Location
IntegratedModifiedEnergyFactor or ModifiedEnergyFactor	double	ft ³ /kWh/yr	> 0	Yes		EnergyGuide label efficiency ¹⁶⁵
RatedAnnualkWh	double	kWh/yr	> 0	Yes		EnergyGuide label annual consumption
LabelElectricRate	double	\$/kWh	> 0	Yes		EnergyGuide label electricity rate
LabelGasRate	double	\$/therm	> 0	Yes		EnergyGuide label natural gas rate
LabelAnnualGasCost	double	\$	> 0	Yes		EnergyGuide label annual gas cost
LabelUsage	double	cyc/wk	> 0	Yes		EnergyGuide label number of cycles (not used if 301 version < 2019A)
Capacity	double	ft ³	> 0	Yes		Clothes dryer volume

If the clothes washer is shared, additional information is entered in /HPXML/Building/BuildingDetails/Appliances/ClothesWasher.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
AttachedToWaterHeatingSystem	idref		See ¹⁶⁶	Yes		ID of attached water heater
NumberOfUnits	integer			Yes		Number of clothes washers in the shared laundry room
NumberOfUnitsServed	integer			Yes		Number of dwelling units served by the shared laundry room

Note: If no clothes washer is located within the Rated Home, a clothes washer in the nearest shared laundry room on the project site shall be used if available for daily use by the occupants of the Rated Home. If there are multiple clothes washers, the clothes washer with the highest Label Energy Rating (kWh/yr) shall be used.

4.9.2 HPXML Clothes Dryer

A single clothes dryer can be entered as a /HPXML/Building/BuildingDetails/Appliances/ClothesDryer.

¹⁶³ For example, a clothes washer in a shared laundry room of a MF building.

¹⁶⁴ Location choices are “living space”, “basement - conditioned”, “basement - unconditioned”, “garage”, “other housing unit”, “other heated space”, “other multifamily buffer space”, or “other non-freezing space”. See [HPXML Locations](#) for descriptions.

¹⁶⁵ If ModifiedEnergyFactor (MEF) provided instead of IntegratedModifiedEnergyFactor (IMEF), it will be converted using the [Interpretation on ANSI/RESNET 301-2014 Clothes Washer IMEF](#): $IMEF = (MEF - 0.503) / 0.95$.

¹⁶⁶ AttachedToWaterHeatingSystem must reference a WaterHeatingSystem.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
SystemIdentifier	id			Yes		Unique identifier
IsSharedAppliance	boolean			Yes		Whether it serves multiple dwelling units ¹⁶⁷
Location	string		See ¹⁶⁸	Yes		Location
FuelType	string		See ¹⁶⁹	Yes		Fuel type
CombinedEnergyFactor or EnergyFactor	dou- ble	lb/kWh	> 0	Yes		EnergyGuide label effi- ciency ¹⁷⁰
ControlType	string		See ¹⁷¹	See ¹⁷²		Type of controls

If the clothes dryer is shared, additional information is entered in /HPXML/Building/BuildingDetails/Appliances/ClothesDryer.

Element	Type	Units	Con- straints	Re- quired	De- fault	Notes
NumberOfUnits	integer			Yes		Number of clothes dryers in the shared laundry room
NumberOfUnitsServed	integer			Yes		Number of dwelling units served by the shared laundry room

Note: If no clothes dryer is located within the Rated Home, a clothes dryer in the nearest shared laundry room on the project site shall be used if available for daily use by the occupants of the Rated Home. If there are multiple clothes dryers, the clothes dryer with the lowest Energy Factor or Combined Energy Factor shall be used.

4.9.3 HPXML Dishwasher

A single dishwasher can be entered as a /HPXML/Building/BuildingDetails/Appliances/Dishwasher.

¹⁶⁷ For example, a clothes dryer in a shared laundry room of a MF building.

¹⁶⁸ Location choices are “living space”, “basement - conditioned”, “basement - unconditioned”, “garage”, “other housing unit”, “other heated space”, “other multifamily buffer space”, or “other non-freezing space”. See *HPXML Locations* for descriptions.

¹⁶⁹ FuelType choices are “natural gas”, “fuel oil”, “propane”, “electricity”, “wood”, or “wood pellets”.

¹⁷⁰ If EnergyFactor (EF) provided instead of CombinedEnergyFactor (CEF), it will be converted using the following equation based on the [Interpretation on ANSI/RESNET/ICC 301-2014 Clothes Dryer CEF](#): $CEF = EF / 1.15$.

¹⁷¹ ControlType choices are “timer” or “moisture”.

¹⁷² ControlType only required if ERI Version < 2019A.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
SystemIdentifier	id			Yes		Unique identifier
IsSharedAppliance	boolean			Yes		Whether it serves multiple dwelling units ¹⁷³
Location	string		See ¹⁷⁴	Yes		Location
RatedAnnualkWh or EnergyFactor	double	kWh/yr or #	> 0	Yes		EnergyGuide label consumption/efficiency ¹⁷⁵
LabelElectricRate	double	\$/kWh	> 0	Yes		EnergyGuide label electricity rate (not used if 301 version < 2019A)
LabelGasRate	double	\$/therm	> 0	Yes		EnergyGuide label natural gas rate (not used if 301 version < 2019A)
LabelAnnualGasCost	double	\$	> 0	Yes		EnergyGuide label annual gas cost (not used if 301 version < 2019A)
LabelUsage	double	cyc/wk	> 0	Yes		EnergyGuide label number of cycles (not used if 301 version < 2019A)
PlaceSettingCapacity	integer	#	> 0	Yes		Number of place settings

If the dishwasher is shared, additional information is entered in /HPXML/Building/BuildingDetails/Appliances/Dishwasher.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
AttachedToWaterHeatingSystem	idref		See ¹⁷⁶	Yes		ID of attached water heater

Note: If no dishwasher is located within the Rated Home, a dishwasher in the nearest shared kitchen in the building shall be used only if available for daily use by the occupants of the Rated Home. If there are multiple dishwashers, the dishwasher with the lowest Energy Factor (highest kWh/yr) shall be used.

4.9.4 HPXML Refrigerators

A single refrigerator can be entered as a /HPXML/Building/BuildingDetails/Appliances/Refrigerator.

Element	Type	Units	Constraints	Required	Default	Notes
SystemIdentifier	id			Yes		Unique identifier
Location	string		See ¹⁷⁷	Yes		Location
RatedAnnualkWh	double	kWh/yr	> 0	Yes		Annual consumption

¹⁷³ For example, a dishwasher in a shared mechanical room of a MF building.

¹⁷⁴ Location choices are “living space”, “basement - conditioned”, “basement - unconditioned”, “garage”, “other housing unit”, “other heated space”, “other multifamily buffer space”, or “other non-freezing space”. See *HPXML Locations* for descriptions.

¹⁷⁵ If EnergyFactor (EF) provided instead of RatedAnnualkWh, it will be converted using the following equation based on ANSI/RESNET/ICC 301-2014: RatedAnnualkWh = 215.0 / EF.

¹⁷⁶ AttachedToWaterHeatingSystem must reference a WaterHeatingSystem.

¹⁷⁷ Location choices are “living space”, “basement - conditioned”, “basement - unconditioned”, “garage”, “other housing unit”, “other heated space”, “other multifamily buffer space”, or “other non-freezing space”. See *HPXML Locations* for descriptions.

Note: If there are multiple refrigerators, the total energy consumption of all refrigerators/freezers shall be used along with the location that represents the majority of power consumption.

4.9.5 HPXML Dehumidifier

Each dehumidifier can be entered as a /HPXML/Building/BuildingDetails/Appliances/Dehumidifier.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
SystemIdentifier	id			Yes		Unique identifier
Type	string		See ¹⁷⁸	Yes		Type of dehumidifier
Location	string		See ¹⁷⁹	Yes		Location of dehumidifier
Capacity	double	pints/day	> 0	Yes		Dehumidification capacity
IntegratedEnergyFactor or EnergyFactor	double	liters/kWh	> 0	Yes		Rated efficiency
FractionDehumidificationLoadServed	double	frac	0 - 1 ¹⁸⁰	Yes		Fraction of dehumidification load served

Note: Dehumidifiers only affect ERI scores if Version 2019AB or newer is used, as dehumidifiers were incorporated into the ERI calculation as of 301-2019 Addendum B.

Note: Dehumidifiers are currently modeled as located within conditioned space; the model is not suited for a dehumidifier in, e.g., a wet unconditioned basement or crawlspace. Therefore the dehumidifier Location is currently restricted to “living space”.

4.9.6 HPXML Cooking Range/Oven

A single cooking range can be entered as a /HPXML/Building/BuildingDetails/Appliances/CookingRange.

Element	Type	Units	Constraints	Required	Default	Notes
SystemIdentifier	id			Yes		Unique identifier
Location	string		See ¹⁸¹	Yes		Location
FuelType	string		See ¹⁸²	Yes		Fuel type
IsInduction	boolean			Yes		Induction range?

If a cooking range is specified, a single oven is also entered as a /HPXML/Building/BuildingDetails/Appliances/Oven.

¹⁷⁸ Type choices are “portable” or “whole-home”.

¹⁷⁹ Location only choice is “living space”.

¹⁸⁰ The sum of all FractionDehumidificationLoadServed (across all Dehumidifiers) must be less than or equal to 1.

¹⁸¹ Location choices are “living space”, “basement - conditioned”, “basement - unconditioned”, “garage”, “other housing unit”, “other heated space”, “other multifamily buffer space”, or “other non-freezing space”. See *HPXML Locations* for descriptions.

¹⁸² FuelType choices are “natural gas”, “fuel oil”, “propane”, “electricity”, “wood”, or “wood pellets”.

Element	Type	Units	Constraints	Required	Default	Notes
SystemIdentifier	id			Yes		Unique identifier
IsConvection	boolean			Yes		Convection oven?

4.10 HPXML Lighting & Ceiling Fans

Lighting and ceiling fans are entered in /HPXML/Building/BuildingDetails/Lighting.

4.10.1 HPXML Lighting

Nine /HPXML/Building/BuildingDetails/Lighting/LightingGroup elements must be provided, each of which is the combination of:

- LightingType: 'LightEmittingDiode', 'CompactFluorescent', and 'FluorescentTube'
- Location: 'interior', 'garage', and 'exterior'

Use LightEmittingDiode for Tier II qualifying light fixtures; use CompactFluorescent and/or FluorescentTube for Tier I qualifying light fixtures.

Information is entered in each LightingGroup.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
SystemIdentifier	id			Yes		Unique identifier
LightingType	element		1 ¹⁸³	Yes		Lighting type
Location	string		See ¹⁸⁴	Yes		See ¹⁸⁵
FractionofUnitsInLocation	double	frac	0 - 1 ¹⁸⁶	Yes		Fraction of light fixtures in the location with the specified lighting type

4.10.2 HPXML Ceiling Fans

Each ceiling fan is entered as a /HPXML/Building/BuildingDetails/Lighting/CeilingFan.

Element	Type	Units	Con-straints	Re-quired	De-fault	Notes
SystemIdentifier	id			Yes		Unique identifier
Airflow[FanSpeed="medium"] / Efficiency	double	cfm/W	> 0	Yes		Efficiency at medium speed
Quantity	integer		> 0	Yes		Number of similar ceiling fans

¹⁸³ LightingType child element choices are LightEmittingDiode, CompactFluorescent, or FluorescentTube.

¹⁸⁴ Location choices are "interior", "garage", or "exterior".

¹⁸⁵ Garage lighting is ignored if the building has no garage specified elsewhere.

¹⁸⁶ The sum of FractionofUnitsInLocation for a given Location (e.g., interior) must be less than or equal to 1. If the fractions sum to less than 1, the remainder is assumed to be incandescent lighting.

4.11 HPXML Locations

The various locations used in an HPXML file are defined as follows:

Value	Description	Temperature	Building Type
outside	Ambient environment	Weather data	Any
ground		EnergyPlus calculation	Any
living space	Above-grade conditioned floor area	EnergyPlus calculation	Any
attic - vented		EnergyPlus calculation	Any
attic - unvented		EnergyPlus calculation	Any
basement - conditioned	Below-grade conditioned floor area	EnergyPlus calculation	Any
basement - unconditioned		EnergyPlus calculation	Any
crawlspace - vented		EnergyPlus calculation	Any
crawlspace - unvented		EnergyPlus calculation	Any
garage	Single-family (not shared parking)	EnergyPlus calculation	Any
other housing unit	Unrated Conditioned Space	Same as conditioned space	SFA/MF only
other heated space	Unrated Heated Space	Avg of conditioned space/outside; min of 68F	SFA/MF only
other multifamily buffer space	Multifamily Buffer Boundary	Avg of conditioned space/outside; min of 50F	SFA/MF only
other non-freezing space	Non-Freezing Space	Floats with outside; minimum of 40F	SFA/MF only
other exterior	Water heater outside	Weather data	Any
exterior wall	Ducts in exterior wall	Avg of living space/outside	Any
under slab	Ducts under slab (ground)	EnergyPlus calculation	Any
roof deck	Ducts on roof deck (outside)	Weather data	Any

4.12 Validating & Debugging Errors

When running HPXML files, errors may occur because:

1. An HPXML file provided is invalid (either relative to the HPXML schema or the ERI Use Case).
2. An unexpected error occurred in the workflow (e.g., applying the ERI 301 ruleset).
3. An unexpected EnergyPlus simulation error occurred.

If, for example, the Rated Home is unsuccessful, first look in the ERIRatedHome/run.log for details. If there are no errors in that log file, then the error may be in the EnergyPlus simulation – see ERIRatedHome/epplusout.err.

Contact us if you can't figure out the cause of an error.

4.13 Sample Files

Dozens of sample HPXML files are included in the workflow/sample_files directory. The sample files help to illustrate how different building components are described in HPXML.

Each sample file generally makes one isolated change relative to the base HPXML (base.xml) building. For example, the base-dhw-dwhr.xml file adds a `DrainWaterHeatRecovery` element to the building.

You may find it useful to search through the files for certain HPXML elements or compare (diff) a sample file to the base.xml file.

Upon completion of the ERI calculation, summary output files and simulation files are available. See the [sample_results](#) directory for examples of these outputs.

5.1 Summary Files

Several summary files described below are found in the `results` directory.

5.1.1 ERI_Results.csv

The `ERI_Results.csv` file includes the ERI result as well as the high-level components (e.g., REUL, EC_r, EC_x, IAD_Save) that comprise the ERI calculation. The file reflects the format of the Results tab of the HERS Method Test spreadsheet.

Note that multiple comma-separated values will be reported for many of these outputs if there are multiple heating, cooling, or hot water systems.

See the [example ERI_Results.csv](#).

5.1.2 ERI_Worksheet.csv

The `ERI_Worksheet.csv` file includes more detailed components that feed into the `ERI_Results.csv` values. The file reflects the format of the Worksheet tab of the HERS Method Test spreadsheet.

Note that multiple comma-separated values will be reported for many of these outputs if there are multiple heating, cooling, or hot water systems.

See the [example ERI_Worksheet.csv](#).

5.1.3 ERI_____Home.csv

A CSV file is written for each of the homes simulated (e.g., `ERIReferenceHome.csv` for the Reference home). The CSV file includes the following sections of output.

See the example `ERIRatedHome.csv`.

Annual Energy Consumption by Fuel Type

Current fuel uses are listed below.

Type	Notes
Electricity: Total (MBtu)	
Electricity: Net (MBtu)	Subtracts any power produced by PV or generators.
Natural Gas: Total (MBtu)	
Fuel Oil: Total (MBtu)	
Propane: Total (MBtu)	
Wood Cord: Total (MBtu)	
Wood Pellets: Total (MBtu)	

Annual Energy Consumption By End Use

Current end uses are listed below.

Note that all end uses are mutually exclusive – the “Electricity: Heating” end use, for example, excludes energy reported in the “Electricity: Heating Fans/Pumps” end use. So the sum of all end uses for a given fuel (e.g., sum of all “End Use: Natural Gas: **”) equal the above reported fuel use (e.g., “Fuel Use: Natural Gas: Total”).

Type	Notes
Electricity: Heating (MBtu)	Excludes fans/pumps
Electricity: Heating Fans/Pumps (MBtu)	
Electricity: Cooling (MBtu)	Excludes fans/pumps
Electricity: Cooling Fans/Pumps (MBtu)	
Electricity: Hot Water (MBtu)	Excludes recirc pump and solar thermal pump
Electricity: Hot Water Recirc Pump (MBtu)	
Electricity: Hot Water Solar Thermal Pump (MBtu)	
Electricity: Lighting Interior (MBtu)	
Electricity: Lighting Garage (MBtu)	
Electricity: Lighting Exterior (MBtu)	
Electricity: Mech Vent (MBtu)	Excludes preheating/precooling
Electricity: Mech Vent Preheating (MBtu)	Shared ventilation preconditioning system
Electricity: Mech Vent Precooling (MBtu)	Shared ventilation preconditioning system
Electricity: Whole House Fan (MBtu)	
Electricity: Refrigerator (MBtu)	
Electricity: Dehumidifier (MBtu)	
Electricity: Dishwasher (MBtu)	
Electricity: Clothes Washer (MBtu)	
Electricity: Clothes Dryer (MBtu)	
Electricity: Range/Oven (MBtu)	
Electricity: Ceiling Fan (MBtu)	
Electricity: Television (MBtu)	

Continued on next page

Table 1 – continued from previous page

Type	Notes
Electricity: Plug Loads (MBtu)	Excludes independently reported plug loads (e.g., well pump)
Electricity: PV (MBtu)	Negative value for any power produced
Electricity: Generator (MBtu)	Negative value for any power produced
Natural Gas: Heating (MBtu)	
Natural Gas: Hot Water (MBtu)	
Natural Gas: Clothes Dryer (MBtu)	
Natural Gas: Range/Oven (MBtu)	
Natural Gas: Mech Vent Preheating (MBtu)	Shared ventilation preconditioning system
Natural Gas: Generator (MBtu)	Positive value for any fuel consumed
Fuel Oil: Heating (MBtu)	
Fuel Oil: Hot Water (MBtu)	
Fuel Oil: Clothes Dryer (MBtu)	
Fuel Oil: Range/Oven (MBtu)	
Fuel Oil: Mech Vent Preheating (MBtu)	Shared ventilation preconditioning system
Propane: Heating (MBtu)	
Propane: Hot Water (MBtu)	
Propane: Clothes Dryer (MBtu)	
Propane: Range/Oven (MBtu)	
Propane: Mech Vent Preheating (MBtu)	Shared ventilation preconditioning system
Propane: Generator (MBtu)	Positive value for any fuel consumed
Wood Cord: Heating (MBtu)	
Wood Cord: Hot Water (MBtu)	
Wood Cord: Clothes Dryer (MBtu)	
Wood Cord: Range/Oven (MBtu)	
Wood Cord: Mech Vent Preheating (MBtu)	Shared ventilation preconditioning system
Wood Pellets: Heating (MBtu)	
Wood Pellets: Hot Water (MBtu)	
Wood Pellets: Clothes Dryer (MBtu)	
Wood Pellets: Range/Oven (MBtu)	
Wood Pellets: Mech Vent Preheating (MBtu)	Shared ventilation preconditioning system

Annual Building Loads

Current annual building loads are listed below.

Type	Notes
Load: Heating (MBtu)	Includes HVAC distribution losses.
Load: Cooling (MBtu)	Includes HVAC distribution losses.
Load: Hot Water: Delivered (MBtu)	Includes contributions by desuperheaters or solar thermal systems.
Load: Hot Water: Tank Losses (MBtu)	
Load: Hot Water: Desuperheater (MBtu)	Load served by the desuperheater.
Load: Hot Water: Solar Thermal (MBtu)	Load served by the solar thermal system.

Annual Unmet Building Loads

Current annual unmet building loads are listed below.

Type	Notes
Unmet Load: Heating (MBtu)	
Unmet Load: Cooling (MBtu)	

These numbers reflect the amount of heating/cooling load that is not met by the HVAC system, indicating the degree to which the HVAC system is undersized. An HVAC system with sufficient capacity to perfectly maintain the thermostat setpoints will report an unmet load of zero.

Peak Building Electricity

Current peak building electricity outputs are listed below.

Type	Notes
Peak Electricity: Winter Total (W)	Winter season defined by operation of the heating system.
Peak Electricity: Summer Total (W)	Summer season defined by operation of the cooling system.

Peak Building Loads

Current peak building loads are listed below.

Type	Notes
Peak Load: Heating (kBtu)	Includes HVAC distribution losses.
Peak Load: Cooling (kBtu)	Includes HVAC distribution losses.

Annual Component Building Loads

Component loads represent the estimated contribution of different building components to the annual heating/cooling building loads. The sum of component loads for heating (or cooling) will roughly equal the annual heating (or cooling) building load reported above. Current component loads disaggregated by Heating/Cooling are listed below.

Type	Notes
Component Load: *: Roofs (MBtu)	Heat gain/loss through HPXML <code>Roof</code> elements adjacent to conditioned space
Component Load: *: Ceilings (MBtu)	Heat gain/loss through HPXML <code>FrameFloor</code> elements (inferred to be ceilings) adjacent to conditioned space
Component Load: *: Walls (MBtu)	Heat gain/loss through HPXML <code>Wall</code> elements adjacent to conditioned space
Component Load: *: Rim Joists (MBtu)	Heat gain/loss through HPXML <code>RimJoist</code> elements adjacent to conditioned space
Component Load: *: Foundation Walls (MBtu)	Heat gain/loss through HPXML <code>FoundationWall</code> elements adjacent to conditioned space
Component Load: *: Doors (MBtu)	Heat gain/loss through HPXML <code>Door</code> elements adjacent to conditioned space
Component Load: *: Windows (MBtu)	Heat gain/loss through HPXML <code>Window</code> elements adjacent to conditioned space, including solar
Component Load: *: Skylights (MBtu)	Heat gain/loss through HPXML <code>Skylight</code> elements adjacent to conditioned space, including solar
Component Load: *: Floors (MBtu)	Heat gain/loss through HPXML <code>FrameFloor</code> elements (inferred to be floors) adjacent to conditioned space
Component Load: *: Slabs (MBtu)	Heat gain/loss through HPXML <code>Slab</code> elements adjacent to conditioned space
Component Load: *: Internal Mass (MBtu)	Heat gain/loss from internal mass (e.g., furniture, interior walls/floors) in conditioned space
Component Load: *: Infiltration (MBtu)	Heat gain/loss from airflow induced by stack and wind effects
Component Load: *: Natural Ventilation (MBtu)	Heat gain/loss from airflow through operable windows
Component Load: *: Mechanical Ventilation (MBtu)	Heat gain/loss from airflow/fan energy from a whole house mechanical ventilation system
Component Load: *: Whole House Fan (MBtu)	Heat gain/loss from airflow due to a whole house fan
Component Load: *: Ducts (MBtu)	Heat gain/loss from conduction and leakage losses through supply/return ducts outside conditioned space
Component Load: *: Internal Gains (MBtu)	Heat gain/loss from appliances, lighting, plug loads, water heater tank losses, etc. in the conditioned space

Annual Hot Water Uses

Current annual hot water uses are listed below.

Type	Notes
Hot Water: Clothes Washer (gal)	
Hot Water: Dishwasher (gal)	
Hot Water: Fixtures (gal)	Showers and faucets.
Hot Water: Distribution Waste (gal)	

5.1.4 ERI_____Home_Hourly.csv

See the [Running](#) section for requesting hourly outputs. When requested, a CSV file of hourly outputs is written for the Reference/Rated Homes (e.g., `ERIReferenceHome_Hourly.csv` for the Reference home).

Depending on the outputs requested, CSV files may include:

Type	Notes
Fuel Consumptions	Energy use for each fuel type (in kBtu for fossil fuels and kWh for electricity).
End Use Consumptions	Energy use for each end use type (in kBtu for fossil fuels and kWh for electricity).
Hot Water Uses	Water use for each end use type (in gallons).
Total Loads	Heating, cooling, and hot water loads (in kBtu) for the building.
Component Loads	Heating and cooling loads (in kBtu) disaggregated by component (e.g., Walls, Windows, Infiltration, Ducts, etc.).
Unmet Loads	Unmet heating and cooling loads (in kBtu) for the building.
Zone Temperatures	Average temperatures (in deg-F) for each space modeled (e.g., living space, attic, garage, basement, crawlspace, etc.).
Airflows	Airflow rates (in cfm) for infiltration, mechanical ventilation, natural ventilation, and whole house fans.
Weather	Weather file data including outdoor temperatures, relative humidity, wind speed, and solar.

Timestamps in the output use the end-of-hour convention. Most outputs will be summed over the hour (e.g., energy) but some will be averaged over the hour (e.g., temperatures, airflows).

See the [example ERIRatedHome_Hourly.csv](#).

5.1.5 ERI_____Home.xml

An HPXML file is written for each of the homes simulated (e.g., `ERIRedReferenceHome.xml` for the Reference home). The file reflects the configuration of the home after applying the ERI 301 ruleset.

The file will also show HPXML default values that are applied as part of modeling this home. Defaults will be applied for a few different reasons:

1. Optional ERI inputs aren't provided (e.g., ventilation rate for a vented attic, SHR for an air conditioner, etc.)
2. Modeling assumptions (e.g., 1 hour timestep, Jan 1 - Dec 31 run period, appliance schedules, etc.)
3. HVAC sizing calculations (e.g., autosized HVAC capacities and airflow rates, heating/cooling design loads)

Any HPXML-defaulted values will include the `dataSource='software'` attribute.

See the [example ERIRatedHome.xml](#).

5.2 Simulation Files

In addition, raw EnergyPlus simulation input/output files are available for each simulation (e.g., `ERIRatedHome`, `ERIRedReferenceHome`, etc. directories).

Warning: It is highly discouraged for software tools to read the raw EnergyPlus output files. The EnergyPlus input/output files are made available for inspection, but the outputs for certain situations can be misleading if one does not know how the model was created. If there are additional outputs of interest that are not available in our summary output files, please send us a request.

See the [example ERIRatedHome directory](#).

A large number of tests are automatically run for every code change in the GitHub repository.

The current set of tests include:

- Successful ERI calculations for all sample files
- RESNET® ANSI/ASHRAE Standard 140-2011, Class II, Tier 1 Tests
- RESNET HERS® Reference Home auto-generation tests
- RESNET HERS Index Adjustment Design auto-generation tests
- RESNET HERS method tests
- RESNET HVAC tests
- RESNET Duct distribution system efficiency tests
- RESNET Hot water system performance tests

If you are seeking to develop RESNET Accredited Rating Software, you will need to submit your final software product to RESNET for accreditation.

6.1 Running Tests Locally

All tests can be run locally using: `openstudio energy_rating_index_test.rb`

Individual tests (any method in `workflow/tests/energy_rating_index_test.rb` that begins with “test_”) can also be run. For example:

```
openstudio energy_rating_index_test.rb --name=test_resnet_hers_method
```

All current HERS tests can be run using as follows:

```
openstudio energy_rating_index_test.rb --name=test_resnet_ashrae_140
openstudio energy_rating_index_test.rb
--name=test_resnet_hers_reference_home_auto_generation
```

```
openstudio energy_rating_index_test.rb --name=test_resnet_hers_method
openstudio energy_rating_index_test.rb --name=test_resnet_hvac
openstudio energy_rating_index_test.rb --name=test_resnet_dse
openstudio energy_rating_index_test.rb --name=test_resnet_hot_water
```

Test results in CSV format are created at workflow/tests/test_results. For many RESNET tests, the Excel spreadsheet test criteria are also implemented in code to automate the process of checking for test failures. All simulation/HPXML/etc. files generated from running the tests can be found inside the workflow/tests/test_files directory.

At the completion of the test, there will also be output that denotes the number of failures/errors like so:

```
Finished in 36.067116s, 0.0277 runs/s, 0.9704 assertions/s.  1 runs, 35 assertions, 0
failures, 0 errors, 0 skips
```

Software developers may find it convenient to export HPXML files with the same name as the test files included in the repository. This allows issuing the same commands above to generate test results.

The OpenStudio-ERI workflow is cross-platform and can be used in web or desktop applications.

7.1 Web Applications

Using the OpenStudio-ERI workflow in a web application is very straightforward.

First, OpenStudio must be available. Web applications may wish to use the [nrel/openstudio docker image](#). Alternatively, the OpenStudio installer can be executed on the web server – only the EnergyPlus and Command Line Interface (CLI) components are required.

Then grab the latest [OpenStudio-ERI release](#).

7.2 Desktop Applications

The OpenStudio-ERI workflow can also be packaged into a third-party software installer for distribution to desktop users.

First, OpenStudio must be bundled – only the EnergyPlus and Command Line Interface (CLI) components are required. Either the OpenStudio setup file can be automatically run as part of your install, or the OpenStudio application can be installed to a local computer and its contents can be re-bundled in your installer (there are no external dependencies required). The only required OpenStudio contents are the `openstudio/bin` and `openstudio/EnergyPlus` directories.

Then grab the latest [OpenStudio-ERI release](#).

Indices and tables

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